Making sense of social interaction: Emotional coherence drives semantic integration as assessed by event-related potentials

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Abstract

We compared event-related potentials during sentence reading, using impression formation equations of a model of affective coherence, to investigate the role of affective content processing during meaning making. The model of Affect Control Theory (ACT; Heise, 1979, 2007) predicts and quantifies the degree to which social interactions deflect from prevailing social norms and values - based on the affective meanings of involved concepts. We tested whether this model can predict the amplitude of brain waves traditionally associated with semantic processing. To this end, we visually presented sentences describing basic subject-verb-object social interactions and measured event-related potentials for final words of sentences from three different conditions of affective deflection (low, medium, high) as computed by a variant of the ACT model (Schröder, 2011). Sentence stimuli were closely controlled across conditions for alternate semantic dimensions such as contextual constraints, cloze probabilities, co-occurrences of subject-object and verb-object relations. Personality characteristics (schizotypy, Big Five) were assessed to account for individual differences, assumed to influence emotion-language interactions in information processing. Affective deflection provoked increased negativity of ERP waves during the P2/N2 and N400 components. Our data suggest that affective incoherence is perceived as conflicting information interfering with early semantic processing and that increased respective processing demands – in particular in the case of medium violations of social norms - linger on until the N400 time window classically associated with the integration of concepts into embedding context. We conclude from these results that affective meanings influence basic stages of meaning making.

1    Making sense of social interaction: Emotional coherence drives semantic integration as

2                assessed by event-related potentials

3                        **1.  Introduction**

4          As social beings, humans use language to communicate and represent socially

5    relevant information. Significant communicative content is not only transferred via

6    denotative meanings of concepts, but also via their affective meanings. Influential theoretical

7    claims from the field of psychology posit an intimate link between general semantics and

8    affective dimensions: Attempts to measure and describe the semantic meaning of a large

9    amount of different concepts through a small set of scales via semantic differential ratings

10   usually use the following canonical affective dimensions of *evaluation* (or *valence*), which

11   refers to the hedonic dimension of pleasantness vs. unpleasantness, *potency* (or *control*),

12   which characterizes the range of strength vs. weakness, and *activity* (or *arousal*), which

13   specifies the extent of excitement vs. calmness (Osgood, Suci, & Tannenbaum, 1957;

14   Osgood, May, & Miron, 1975). While initially thought to represent basic dimensions of

15   meaning in general (Osgood et al., 1957), these measures later have become well-established

16   in the research representing the dimensional view of emotion (e.g. Osgood et al., 1957;

17   Wundt, 1896; Russel & Mehrhabian, 1977; Bradley, Greenwald, Petry, & Lang, 1992;

18   Feldman Barrett & Russel, 1998); though different studies sometimes use different labels for

19   these emotion dimensions (as indicated in parentheses above). Scholl (2013) even claims that

20   these three emotion dimensions represent the fundamental socio-emotional basis of human

21   communication. If this claim holds true, a close interconnection between neural processing of

22   emotion and language as the primary tool for communication must be expected (see Koelsch

23   et al., 2015, for a theoretical proposal).

24   **1.1. Empirical effects of affective content on single word reading**

1    Numerous studies from psycholinguistics and neuroscience show how words'

2    affective content influences language processing – already at automatic processing stages and

3    before conscious access (e.g. Bernat, Bunce, & Shevrin, 2001; Fischler & Bradley, 2006).

4    For visual word recognition, such effects have been shown with behavioral measures such as

5    response latencies (e.g. Kousta, Vinson, & Vigliocco, 2009; Võ, Jacobs, & Conrad, 2006), or

6    memory performance (e.g. Kensinger & Corkin, 2003; Doerksen & Shimamura, 2001), with

7    physiological measures such as pupil dilation (Kuchinke, Võ, Hofmann, & Jacobs, 2007; Võ

8    et al., 2008), and eye fixations (Scott, O'Donnel, & Sereno, 2012), or with neural correlates

9    of language processing using event-related potentials (ERP, e.g., Conrad, Recio, & Jacobs,

10   2011; Hofmann, Kuchinke, Tamm, Võ, & Jacobs, 2009; Kissler & Herbert, 2013; Recio,

11   Conrad, Hansen & Jacobs, 2014; Schacht & Sommer, 2009; see Citron, 2012, and Jacobs et

12   al., 2015, for reviews), transcranial magnetic stimulation (Weigand et al., 2013), or functional

13   magnetic resonance imaging (e.g. Grimm, Weigand, Kazzer, Jacobs, & Bajbouj, 2012;

14   Herbert et al., 2009; Kuchinke et al., 2005). Even in experimental tasks for which emotional

15   aspects are per se irrelevant (such as the lexical decision task, e.g. Hofmann et al., 2009; or

16   affective Simon task; e.g. Altarriba & Basnight-Brown, 2011) or where attention to emotional

17   properties of stimuli interferes with efficient task resolution (emotional Stroop task: Sass et

18   al., 2010; Malhi, Lagopoulos, Sachdev, Ivanovski, & Shnier, 2005), an influence of the

19   affective content of visually presented single words was observed.

20   In every-day life, we encounter more complex linguistic structures than words in

21   isolation. Hence, there has been an evolving research investigating how emotional word

22   content in embedded language context influences language processing (e.g., Hsu et al., 2014;

23   2015a, b, c; Lüdtke & Jacobs, 2015).

24   **1.2. ERP effects during sentence reading**

25   Concerning sentence processing, the most studied component of the ERP signal is

26   probably the N400 – proposed to reflect meaning activation and semantic integration

1    processes (Kutas & Hillyard, 1980; Kutas & Federmeier, 2011). The amplitude of this

2    negative-going brainwave peaking about 400ms after critical word onset is inversely

3    correlated with the ease to integrate a stimulus in a given context – or with generally

4    increasing processing demands (see Barber & Kutas, 2007, for a review). Accordingly, N400

5    amplitudes were shown to increase with semantic violations (e.g., Kutas & Hillyard, 1980;

6    Federmeier, Wlotko, De Ochoa-Dewald, & Kutas, 2007; Molinaro, Conrad, Barber, &

7    Carreiras, 2010), expectancy violations (Kutas & Hillyard, 1984), world-knowledge

8    violations (Hagoort, Hald, Bastiaansen, & Petersson, 2004), or discourse-induced expectation

9    violations (Nieuwland, & Van Berkum, 2006a). Concerning affective language processing,

10   studies using single word presentation consistently showed behavioral processing advantages

11   for affective word content, typically accompanied by two ERP components: an early posterior

12   negativity (EPN) – assumed to reflect attention allocation to emotionally relevant stimuli -

13   and a late positive potential (LPC) – assumed to reflect more elaborate semantic processing

14   of emotion-laden words –  (see Citron, 2012, for a review). ERP studies using emotion-laden

15   words embedded in sentences provided somewhat mixed results: Results from Holt, Lynn, &

16   Kuperberg (2009), Martin-Loeches et al. (2012), as well as Delaney-Busch and Kuperberg

17   (2013) converge on persisting LPC effects for emotion-laden words also in more natural

18   sentence reading paradigms. But whereas the two latter studies observed attenuated N400

19   effects for emotion-laden words – potentially mirroring the behavioral processing advantage

20   for such words in terms of more easy integration into sentence context, Holt, Lynn, &

21   Kuperberg (2009), on the other hand, report larger N400 for emotion-laden as compared to

22   neutral target words.

23        As emotion-laden words can be embedded into sentence contexts in very different

24   ways, such heterogeneous pattern of results may be not so surprising after all. To investigate

25   the role of affective language content during meaning making, it appears most pertinent to

26   focus on how the affective content of words influences the way we integrate their meaning

into a specific affective context. León, Díaz, de Vega, and Hernández (2010) reported an

N400 and an early N100/P200 effect when participants read a target word within a sentence

describing a protagonist's emotional state that was inconsistent with a preceding story. While

their data show that typical N400 effects for violations of expectations or world-knowledge

(Hagoort et al., 2004) extend to situations where such expectations relate to emotional states,

we would like to raise the question of whether ongoing online affective evaluation of

concepts and their respective consistency or congruency represents a mandatory feature of

sentence processing. Empirical results, again, sum up to a heterogeneous pattern: For

instance, Martín-Loeches et al. (2012) found no modulation of the N400 semantic anomaly

effect depending on target word valence, thus, affective content seems not to interfere with

general semantic processing. Delaney-Busch and Kuperberg (2013) focused more directly on

congruency of affective valence between critical words across two different sentences:  N400

effects were given only for semantic incongruency between neutral target words and

preceding neutral context, no such effects were obtained for emotional incongruency.

Apparently, the affective salience of an emotional word might override (Wang, Bastiaansen,

Yang, and Hagoort; 2013) or overleap (Delany-Busch & Kuperberg, 2013) potential effects of

affective congruency in the N400 time window. In a similar vein, Wang, Bastiaansen, and

Yang (2015) found that affective content influences the time course of incongruency effects,

i.e., emotion incongruent verbs following positive person names elicited a N400 effect,

whereas incongruent verbs following negative names elicited no N400 but a P600 effect.

Since the processing of emotional words requires the interaction of linguistic and emotional

systems, contradictory responses from both of them would activate executive resources

related to conflict detection and resolution. Previous data have demonstrated the influence of

the emotional valence of words on conflict processing. These studies have focused on the

modulation of the N200 component, which is associated to conflict detection processes and

consistently reported using the flanker task (Eriksen & Eriksen, 1974). The N200 effect

1    obtained with the flanker task is enhanced with emotional words compared to neutral words,

2    showing that emotional information (positive or negative) directly affects these conflict-

3    monitoring processes (Kanske & Kotz, 2010; 2011). Therefore, we can hypothesize that the

4    processing of conflicting emotional information during sentence reading could trigger the

5    same mechanisms of those involved in the flanker task, leading to a similar ERP effect in the

6    time range of the N200.

7         The heterogeneous pattern of results of the above mentioned studies on emotional

8    congruency effects (Holt, Lynn, & Kuperberg, 2009; Martín-Loeches et al., 2012; Delaney-

9    Busch & Kuperberg, 2013; León et al., 2010) may in part be due to the fact that they tapped

10   into different aspects of emotion and/or syntactic processing realizing affective congruency

11   also mainly across different sentences.

12   **1.3. Affective structure of language reflects social norms and values**

13        According to Affect Control Theory (ACT; Heise, 1979, 2007; MacKinnon, 1994;

14   Schröder, Hoey, & Rogers, 2016; Smith-Lovin & Heise, 1988), people use the affective

15   structure of language as a source of information to infer whether a given social situation is in

16   line with prevailing social norms and expectations. Thus, this social psychological emotion

17   theory capitalizes on the role of language: Woven into the affective meanings of words,

18   socially and culturally shared knowledge and experiences are transferred and, thus, influence

19   the way people build impressions of linguistically represented social situations, that is, how

20   people construct the meaning, understanding, regulation and further course of social events

21   (Rogers, Schröder, & von Scheve, 2014). In this theoretical framework, normativity of social

22   events is judged by the degree of perceived affective coherence transferred by the interplay of

23   the affective meanings of the words used to describe a given social event. Prior studies have

24   demonstrated the widespread consensus on ratings of affective word meanings across

25   members of the same society – supporting the basic assumption of ACT that culturally shared

1    knowledge is reflected in the affective structure of language (Ambrasat, von Scheve, Conrad,

2    Schauenburg, & Schröder, 2014; Heise, 2010).

3          While affective meanings reflect the emotional connotations of concepts at the single-

4    word level, the social psychological construct of affective coherence can be understood as

5    "the mutual goodness of fit" (Schröder, 2011) of *all* the connotations of the concepts used to

6    linguistically represent the relevant situation. Similar to other cognitive-consistency theories

7    (e.g. Heider's Balance Theory, 1946), one basic assumption of ACT is that people strive to

8    maintain the affective meanings of the concepts in their mental representations and actions.

9    Thus, situations for which affective meanings of involved concepts match each other, easily

10   integrate in our stream of perception and action; while we mentally stumble over events that

11   are represented by concepts whose emotional connotations do not fit together. For instance, in

12   the situation "A mother plays with a child", the affective meaning of the concept "mother"

13   almost perfectly matches the emotional connotations of the other words "play" and "child".

14   However, the emotional connotation of the concept mother may harmonize less with that of

15   the concept "to beat somebody". Therefore, the situation "A mother beats a child" would

16   strongly violate our general affective representation of the word mother - because of the

17   incongruency between its common sentiment and its situational, transient affective meaning.

18   This mismatch would encourage us to somehow "rebalance" the lack of perceived coherence

19   – for instance by postulating that the child was badly misbehaving before (which of course is

20   not an appropriate reason to beat a child, but in this way of representing the situation, e.g. as

21   "A mother beats a naughty child", the initially perceived violation of affective coherence

22   would not be that severe anymore). In the research framework of ACT, affective coherence

23   can be modeled mathematically using impression-formation equations which were obtained

24   in empirical studies by regressing the ratings of the evaluation, activity and potency

25   dimensions of words in the context of a sample of given events on out-of-context semantic

26   differential ratings of the same words (e.g., Averett & Heise, 1987; Schröder, 2011).

1    Behavioral and computational data provide empirical evidence for this mathematical model

2    to accurately model social perception (Heise & MacKinnon, 1987; Schröder & Thagard,

3    2013). For a detailed description on how these impression equation formations were obtained

4    in the German language see Schröder, 2011.

5              These ACT-based procedures simply aim at taking into account that the affective

6    meaning of a given concept arises from the transient interplay of its fundamental sentiment

7    (Heise, 2007) with its specific current context. Empirically validated impression-formation

8    equations generated by ACT, thus, should provide a better or more comprehensive account of

9    emotional congruency than, for instance, merely comparing maximized valence contrasts

10   between word pairs. Because the coefficients of the equations capture "something about the

11   normative process of impression formation in our culture" (Robinson, Smith-Lovin, &

12   Wisecup, 2006, p. 185), the formal mathematical model of affective deflection, which

13   corresponds to the squared Euclidean Distance of the fundamental and transient affective

14   meanings, can be interpreted as a measure for the degree to which a given linguistically

15   labeled social situation deviates from prevailing social norms and values (Heise, 2007).

16   Hence, emotional congruency is not only determined by the interplay of the affective

17   dimensions of all the words used to describe a given event, but at the same time reflects

18   social norms and values of the mental representation of the relevant event.

19              Beyond the focus of language processing itself, the N400 paradigm has also become a

20   common tool to investigate social cognition, particularly addressing the issue of social

21   expectancy violations. For instance, in the case of stereotype processing, White, Crites Jr.,

22   Taylor, and Corral (2009) found larger N400 amplitudes for target words that were

23   stereotypically incongruent with preceding gender words in a classic priming paradigm.

24   Similar results concerning the N400 as an index for the accessibility of stereotypes were

25   reported for social group stereotypes (Wang, Ma, Song, Shi, Wang, & Pfotenhauer, 2011),

26   and racial stereotypes (Hehman, Volpert, & Simons, 2013). The N400 can also be used as a

1    measurement for the conflict between individual and group judgments as has been shown by

2    Huang, Kendrick, and Yu (2014) who reported larger N400 for the incongruency between

3    participants' subjective ratings and group ratings on face attractiveness. Van Berkum,

4    Holleman, Nieuwland, Otten, and Murre (2009) have demonstrated that the N400 is also

5    sensitive to participants' individual value systems: They found that facing participants with

6    moral statements disagreeing with their value systems elicited an N400 effect – along with an

7    enhanced positivity in an early time window (200-250ms) and a more positive going

8    deflection for value-inconsistent sentences in the late positive potential (500-650ms). These

9    studies suggest that even at early stages, readers may already attempt to integrate the meaning

10   of a sentence with cultural norms and values.

11          Furthermore, trying to reconcile these initial attempts on investigating emotion effects

12   during sentence processing with the intriguing findings from the field of social cognition

13   (White et al., 2009; Wang et al., 2011; Hehmanet al., 2013; Huang et al., 2014; Van Berkumet

14   al., 2009) calls for a comprehensive theoretical framework to define and operationalize the

15   affective coherence or congruency of sentences. The research question we wanted to tackle

16   here by combining theoretical proposals from social psychology with a neuroscientific

17   investigation of sentence reading is: How do readers continuously integrate affective

18   information into meaning making during online sentence processing?

19   **1.4. The present Study**

20          We conducted the present study to explore on a neurophysiological level - using the

21   high temporal resolution of EEG - at which point affective coherence influences the way we

22   extract information from sentences. To this end, we recorded participants' EEG signals

23   (focusing on ERPs to sentence final words) while they silently read semantically correct

24   simple sentences (*Actor + Behavior + Object*) describing social interactions in three different

25   conditions of deflections from affective coherence (low, medium, high), e.g.,

1   "*The schoolgirl admires the champion*" ("Das Schulmädchen bewundert den Champion", low

2   deflection), vs.

3   "*The schoolgirl admires the rescuer*" ("Das Schulmädchen bewundert den Retter"; medium

4   deflection), vs.

5   "*The schoolgirl admires the slacker*" ("Das Schulmädchen bewundert den Faulenzer", high

6   deflection).

7   We applied the ACT-based mathematical model of impression formation to determine

8   emotional congruency of sentences describing social events. We chose a silent reading

9   paradigm to measure implicit processing of affective coherence – thus following ACT's basic

10  assumption that people always use emotional connotations as a source for normativity

11  judgments, not only when they are explicitly asked to do so.

12          Assuming that emotional consistency or affective coherence is a basic feature of

13  semantic integration, the simplest hypothesis predicts a linear correlation between affective

14  deflection and the N400 amplitude to sentence final words. Thus, N400 amplitude should

15  increase systematically with the degree of emotional incongruency of sentences – determined

16  by the relation between sentence final words and preceding context.

17          Moreover, as semantic context-integration effects in sentence reading were shown

18  even at very short latencies (Penolazzi et al., 2007; Léon et al., 2010; Sereno, Brewer, &

19  O'Donnell, 2003) one can expect ERP effects of affective coherence during sentence reading

20  to arise in even earlier time windows. In particular, our manipulations of affective coherence

21  deflection involve conflicting emotional connotations of words used to describe social

22  interactions (see methods for details). Therefore, ERP effects may extend to the P2/N2

23  component as a correlate of conflict monitoring (Yeung, Botvinick, & Cohen, 2004; Folstein

24  & Van Petten, 2008), with increased fronto-central negativity for affectively incoherent

25  sentences.

1    Since we consider the present study as an interdisciplinary approach integrating

2    scientific results related to language processing from the level of single words and sentence

3    processing up to the level of social cognition, we also wanted to tackle individual differences

4    concerning this subject at least in an exploratory mode. As personal traits such as schizotypy

5    have been shown to modulate N400 amplitudes in language processing studies – probably

6    due to a deficient use of context in integration processing – (Kutas & Federmeier, 2011;

7    Kiang, Prugh, & Kutas, 2010, for a review on schizotypy and language, see Kiang, 2010) we

8    administered the Schizotypy Personality Questionnaire (SPQ; Raine, 1991; German version:

9    SPQ-G; Klein, Andresen, & Jahn, 1997) to investigate potential individual differences. With

10   regard to the well-established personality-emotion relationships (Fossum & Barrett, 2000) on

11   affective processing especially concerning the correlations of negative emotions with

12   neuroticism and positive emotions with extraversion (e.g. McCrae & Costa, 1991) we assume

13   that personality-associated emotion regulation might influence moral judgments (Athota,

14   O'Connor, & Jackson, 2009) or correlate with evaluation (Fossum & Barrett, 2000). We

15   therefore also measured the Big Five personality traits using the NEO Five Factor Inventory

16   (NEO-FFI; Costa & McCrae, 1989; German version: Borkenau & Ostendorf, 1993) as a

17   standard personality screening method to capture hints of potential personality effects on our

18   ERP data.

19                                    **2.   Method**

20   **2.1. Participants**

21    Forty-nine university students participated in this study. All participants were right-

22   handed (Oldfield, 1971) German native speakers with normal or corrected-to-normal vision.

23   Participation was monetarily rewarded (8 €/h). The data of two participants were excluded

24   due to health issues and the data of further nine subjects were excluded due to bad signal-to-

25   noise ratio (see EEG analyses). Thus, the data of thirty-eight subjects (mean age 25 years,

26   range 20-31; 18 women) with no history of psychological or neurological diseases were

1 analyzed. The whole experiment was designed and conducted according to the Declaration of

2 Helsinki and was approved by the Ethics Council of the Freie Universität Berlin, Germany.

3 **2.2. Materials and Design**

4 Stimuli were 318 simple sentences describing social interactions in a basic actor-

5 behavior-object manner, organized in three conditions of affective deflections (low, medium,

6 high). All sentences had the following structure: determiner-subject-verb-(preposition[1])-

7 determinant-object. Prior to final stimulus selection, we had generated a pool of sentences by

8 permutating all possible sentence elements (654 subject words, 275 verbs, and 400 object

9 words), for that affective meaning information on the three dimensions evaluation, arousal,

10 and potency was available (The Berlin Affective Word List/BAWL, Võ et al., 2006; Affective

11 Norms for German Sentiment/ANGST, Schmidtke et al., 2014; Ambrasat et al., 2014;

12 Schauenburg, Ambrasat, Schröder, von Scheve, & Conrad, 2014) – assuring that object words

13 consisted of no more than nine letters to avoid re-fixations on target words (Rayner, 1998).

14 For the resulting approximately 72 Million sentences, we calculated emotional congruency in

15 terms of affective deflection using regression equations for impression formations fitted for

16 the German version (Schröder, 2011; see Appendix for equations). Based on their distribution

17 we determined three conditions of affective deflection (low, medium, high) from which we

18 selected our stimuli according to the following principles:

19 - Each "sentence context" formed by actor plus behavior had to provide very low

20 contextual constraints and to be present in all conditions the same numbers of times.

21 - Each "target" (sentence-final object word) words had to represent a plausible but low

22 cloze probability ending for a given sentence and to be present in all conditions the

23 same numbers of times.

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[1]Some German verbs demand a preposition between verb and object in transient sentences. In this stimuli set, some sentences included the preposition "mit" ("with") or "für" ("for").

1     Thus we ensured that our stimuli differed only in the emotional coherence of the whole

2     sentences whereas all single elements (targets and preceding contexts) were identical across

3     conditions (see Figure 1 for stimuli example) and that, furthermore, target words were neither

4     predictable nor violating expectations generated by the context as both phenomena could

5     have influenced the ERP components in undesired ways (Kutas & Hillyard, 1984;

6     Dambacher, Kliegl, Hofmann, & Jacobs, 2006; Federmeier et al., 2007).[2]

7         We determined cloze probabilities and contextual constraints (using the cloze method;

8     Taylor, 1953) for our finally selected stimuli by presenting all sentence contexts to German

9     native speakers ($N = 41$, mean age 28.65 years, range: 19-55; 26 women) who did not

10     participate in the EEG-study. Participants were asked to write down the word coming first to

11     their mind as an appropriate sentence ending. Overall, only twelve sentence contexts were

12     ever completed by any participant with the preselected target word – but only in three cases

13     respective identical responses were given by different participants (though each time $N = 2$).

14     Thus, cloze probabilities were always very low and were controlled for across conditions (see

15     Table 1). Overall contextual constraints were controlled for across conditions and also

16     generally very low (see Table 1): On average, only five out of forty-one given responses to

17     each sentence coincided. To further control for context dependent predictability of sentence-

18     final words – as a function of merely cognitive rather than specifically emotional processes -

19     we assessed and balanced semantic associations between sentence contexts and objects using

20     subject-object and verb-object frequencies of co-occurrences in normal language based on the

21     German corpus dlexDB (Heister et al., 2011).[3] In sum, the whole stimulus set included 318

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[2] Strictly speaking, one could argue that it is impossible to fully separate affective/emotional content from cognitive/semantic content, as the conceptual distinction between cognition and emotion is likely only a phenomenological one that lacks neural substance (Duncan & Barrett, 2007; see also Thagard & Schröder, 2014). At the very least, our experimental procedures ensure that our empirical measure of affective coherence is not contaminated with facets of linguistic comprehension that have traditionally been interpreted as cognitive and/or semantic.

[3] Frequencies of co-occurrences of subject-object and of verb-object relations mutual information (MI) and t-scores (t) were calculated and kindly provided by Kay-Michael Würzner. One-way analyses of variances showed no differences between means of MI and t-scores across condition, i.e., across conditions, sentences did

1   sentences, 106 sentences for each of the three conditions of affective deflection.  These were

2   formed by 104 different sentence contexts (= *Actor + Behavior*) and 58 different target words

3   (= *Object*). To realize a perfect control of both sentence context and target word identities for

4   each participant, our design involves repetition of sentence contexts and targets in the

5   following way: Across conditions, each participant saw each of 104 sentence contexts three

6   times; two sentence contexts were used twice within each condition, i.e. six times in total.

7   Concerning the total of 58 different target words, each participant saw each of 28 different

8   target words three times (once per condition), while 18 target words were used twice, eight

9   target words three, two target words four, and two other target words five times within each

10  condition.

11          As illustrated in Figure 1, each sentence context and each object word entered each of

12  the three conditions of affective deflection (low, medium, high) the same number of times;

13  i.e., each condition included exactly the same sentence contexts on the one hand, and exactly

14  the same object words on the other, sentences differed only in the specific combinations of

15  those two elements. While our manipulation focuses on affective consistency of sentence, our

16  selection procedure assures a perfect match of overall affective content across conditions.

17  As we further closely controlled for co-occurrences and cloze probability across conditions,

18  the 106 sentences of each condition were almost perfectly balanced concerning potential

19  confounders.

20

21                          <Figure 1 & Table 1 about here>

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not differ with regard to their frequency of co-occurrences of subject-object and verb-object combinations (see Table 1).

**Figure 1** Example of stimuli and schematic illustration of how all contexts and object words entered the condition of *low*, *medium*, and *high affective deflection*.
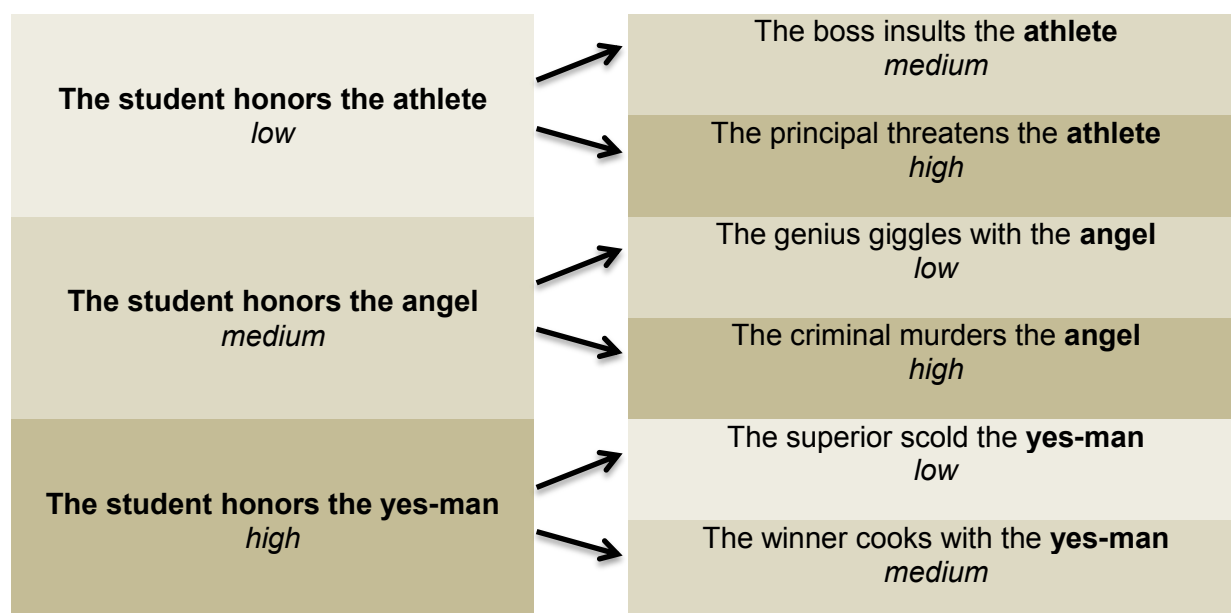


1

2    **Table 1**

3    Overview of stimulus characteristics and comparability across conditions.

| | Condition of Affective Deflection | | | |
|---|---|---|---|---|
| | Low | Medium | High | Significance |
| Affective deflection | 2.98 | 4.72 | 6.52 | $F = 279.863, p <.0001$ |
| | (0.10) | (0.11) | (0.10) | |
| *N* Targets | 58 | 58 | 58 | |
| *N* Contexts | 104 | 104 | 104 | |
| *N* Sentences | 106 | 106 | 106 | |
| Constraints | 12.20 % | 12.20 % | 12.20 % | |
| Cloze probability | .1 % | .3% | .3% | |
| MI-SO | 11.72 | 11.60 | 11.71 | $F = .157 , p = .855$ |
| | (2.27) | (1.99) | (2.50) | |
| T-SO | 9.11 | 8.30 | 7.23 | $F = .087 , p = .917$ |
| | (33.46) | (20.10) | (17.05) | |
| MI-VO | 11.33 | 11.30 | 11.44 | $F = .145, p = .866$ |
| | (1.94) | (1.75) | (2.10) | |
| T-VO | 6.54 | 6.55 | 5.24 | $F = .201, p = .818$ |
| | (9.33) | (10.33) | (8.37) | |

4    Means, standard deviations (in parentheses), and ANOVA results (F- and p-value) are shown.
5    Affective deflection was calculated by impression formation equations fitted for the German
6    language (Schröder, 2011). Constraints and Cloze probability are reported as weighted
7    percentages (raters: N=41). MI-SO and T-SO report Mutual Information and smoothed t
8    scores for subject-object frequencies of co-occurrences. MI-VO and T-VO report Mutual
9    Information and smoothed t scores for verb-object frequencies of co-occurrences.
10

11

1    **2.3. Personality Questionnaires**

2         Participants completed the Schizotypy Personality Questionnaire (SPQ; Raine, 1991;

3    German version: Klein et al., 1997) and the NEO Five Factor Inventory (NEO-FFI; Costa &

4    McCrae, 1989; German version: Borkenau & Ostendorf, 1993). Both questionnaires are self-

5    report scales. The SPQ assesses schizotypal personality based on the DSM-III-R criteria for

6    schizotypal personality disorder (SPD; American Psychiatric Association, 1987). It includes

7    74 binary items (yes/no; "yes" coded as "1" and "no" coded as "0") encompassing nine

8    subscales which refer to the nine different DSM-III-R schizotypal traits: Ideas of Reference

9    (IR, 9 items), Social Anxiety (SA, 8 items), Magical Thinking (MT, 7 items), Unusual

10   Perceptual Experience (UPE, 9 items), Eccentric Behavior (EB, 7 items), No Close Friends

11   (NCF, 9 items), Odd Speech (OS, 9 items), Constricted Affect (CA, 8 items), and

12   Suspiciousness (S, 8 items). The SPQ has a high internal ($\alpha = .88$) and high retest reliability

13   ($r = .88$). Principal component analysis for the German version by Klein et al. (1997) yielded

14   a two-factor solution of which both factors are comparable to the first two factors from the

15   original version (Raine et al., 1991, found a three-factor solution; two-factor solution for the

16   German version was also recommended by Dillmann, 2003, due to inconsistencies regarding

17   the three-factor solution): the cognitive-perceptual factor (subscales: S, OS, UPE, EB, IR,

18   MT) which is associated with "positive schizotypy" and the interpersonal factor (subscales:

19   NCF, CA, SA) which is associated with "negative schizotypy". Factor scores were calculated

20   by adding respective subscales' scores.

21        The NEO-FFI includes 60 items (5-point rating scale ranging from "strong

22   disagreement" coded as "0" to "strong agreement" coded as "4") assessing five personality

23   dimensions (12 items each): Neuroticism (N), Extraversion (E), Openness to Experience (O),

24   Agreeableness (A), and Conscientiousness (C). NEO-FFI's subscales have good to high

25   internal consistency ($\alpha = .72$ - $\alpha = .87$) and good to high retest reliabilities ($r = .71 – r = .82$).

26   **2.4. Procedure**

1        Before the experiment started, participants signed the written informed consent,

2 completed questionnaires for demographic data and both psychometric questionnaires and

3 were prepared for EEG recording. Participants were seated 80 cm in front of 17" computer

4 monitor in a dimmed, electrically shielded and sound-attenuated room. They were asked to

5 move as little as possible and to inhibit eye movements during sentence reading to prevent

6 muscle artefacts in the EEG signal. Sentences were presented in a word-by-word manner

7 using "Arial" font, size 30, in white letters on a black background in the center of the

8 computer screen. Each trial started with a 500ms blank screen followed by a 500ms fixation

9 cross announcing the start of the next sentence. Each word was presented for 250ms with a

10 450ms blank screen in between. After target word presentation a 1500ms blank screen was

11 presented followed by 2000ms hash-tags screen which was included as a blinking pause for

12 participants' eyes. All 318 sentences were presented in randomized order to each participant

13 including 36 attention questions following preselected sentences (twelve per condition with

14 equivalent percentages of correct yes and no responses) to assure that participants attentively

15 read the sentences. Participants were instructed to attentively and silently read the presented

16 sentences and to answer occasionally inserted yes-no comprehension questions as correctly as

17 possible by button press. Correct answers required the meaning of the entire sentence to be

18 processed rather than only focusing on single words, e.g., "Das Schulmädchen tanzt mit dem

19 Sieger" ("The schoolgirl dances with the champion") was followed by the question "Tanzt

20 das Mädchen mit einem Gewinner?" ("Does the girl dance with a winner?"). Attention

21 questions were presented until participants responded.

22        Ten initial practice trials each followed by an attention question ensured participants

23 getting used to the word-by-word presentation and to how to answer the yes-no questions

24 (respective feedback was provided only for practice trials). Three further practice trials were

25 inserted to train to blink the eyes if necessary only during the blinking pause. Practice trials

1    did not include critical words from the stimulus set. Duration of EEG recording was about

2    one hour with four breaks in between.

3    **2.5. Data acquisition and reduction**

4    EEG-signal was recorded from 64 Ag/AgCl-electrodes. Four EOG electrodes were

5    mounted to assess horizontal (HEOG) and vertical eye (VEOG) movements: Two electrodes

6    on the outer canthi of both eyes and 2 electrodes on the infraorbital ridges of the right eye. 59

7    electrodes were affixed on the scalp using an elastic electrode cap (Easy GmbH Herrsching,

8    Germany) at positions Fp1, Fp2, Fpz, AF3, AF4, F1, F2, F3, F4, F5, F6, Fz, FC1, FC2, FC3,

9    FC4, FCz, FT7, FT8, C1, C2, C3, C4, C5, C6, Cz, T7, T8, CP1, CP2, CP3, CP4, CP5, CP6,

10    CPz, TP7, TP8, P1, P2, P3, P4, P5, P6, P7, P8, P9, P10, Pz, PO3, PO4, PO7, PO8, PO9,

11    PO10, POz, O1, O2, Oz, and Iz of the international 10/10 system (Nuwer et al., 1998). The

12    ground electrode was at position AFz. The raw EEG-signal was recorded using two 32-

13    channel amplifiers (Brainamp, Brain Products, Germany) with amplifier's default filter

14    settings (low cut-off time constant of 10 sec, which corresponds mainly to a 0.016 Hz high-

15    pass filter and high cut-off frequency of 1000 Hz before digitization).  After digitization with

16    sampling rate of 5000 Hz and the signal was online filtered and down sampled to 500 Hz.

17    Electrodes were online referenced to the right mastoid and impedances were kept below 5

18    k$\Omega$. All channels were filtered offline using an IIR (Infinite Impulse Response) zero-phase

19    shift Butterworth filters with a band pass filter 0.1 to 20 Hz (24 db / oct roll-off) and a notch

20    filter of 50 Hz, and recalculated to average reference of left and right mastoids for the

21    continuous data. Raw data were manually inspected and cleaned off noisy parts such as

22    muscle artefacts, breaks and noisy electrodes (though all channels were kept for all subjects).

23    Ocular artefacts were corrected using independent component analyses (Restricted Fast ICA,

24    Zhou & Gotman, 2005; Jung et al., 1998, 2001) with Analyzer 2.0 software (Brain Products,

25    Germany): ICA components were sorted in descending order according to their energy. Only

26    the first up to six components were considered representing eye movement activity as

1    categorized by scalp maps, which were removed before back transformation (*Mean* = 2.40,

2    *SD* = .97).EOG channels were then removed from further analyses. The continuous EEG

3    signal was then segmented in 950 ms epochs starting 150 before target word (object word)

4    onset, which served as pre-stimulus baseline. After baseline correction, segments containing

5    artefacts (or those corresponding to incorrect responses to attention questions) were excluded

6    from further analyses. Differences in values > 80 μV in intervals of 70ms as well as

7    amplitudes >50 or <−50 μV were considered artifacts. Only segments free of artefacts were

8    averaged per condition, participant and electrode, before grand averages were computed over

9    all participants. Participants with less than 65 out of possible 106 segments per condition

10   were excluded from analyses in order to optimize signal-to-noise ratio.

11       For the 38 participants included in the final data set mean numbers of segments per

12   condition were: low affective deflection: *AM* = 96.63 (*SD* = 8.64), medium affective

13   deflection: *AM* = 96.13 (*SD* = 9.35), and high affective deflection: *AM* = 96.37 (*SD* = 8.01) -

14   not differing significantly between conditions [$F(2, 114) < 1$].

15   **2.6. Analyses of EEG-Data**

16   According to the literature on ERP effects in language processing involving emotion effects,

17   ERP signals were segmented into three strategic time windows corresponding to P2/N2,

18   N400 and P600 components – taking also into account the specific peaks and ranges of these

19   overall waveforms in our data. In particular, for the early P2/N2 ERP component we chose a

20   time window between 130 – 270ms – meeting the general assumption that these components

21   peak around 200ms and the specific morphology of the waveform in our data (see Figure 2)

22   where no single peak can be observed, but where the general positive going waveform around

23   200ms seems to accommodate perfectly and as a whole into this time window of 140ms

24   length. As N2 effects reflecting conflict processing in emotion-laden words tend to have very

25   localized frontocentral distributions (Kanske & Kotz, 2010; 2011; van Veen & Carter, 2002),

1    we defined a region of interest (ROI) using the following six electrodes for analyses

2    concerning the P2/N2 time window: F1, F2, Fz, FC1, FC2, FCz.

3         For the N400 component, we chose a standard time window of 300 – 450ms, which

4    contains the respective negative going waveform in our data almost entirely and is almost

5    perfectly symmetrically distributed around the peak of this overall waveform in our data

6    around 370ms (see Figure 2).

7         Accordingly, we chose a standard time window for analyses of the LPC between 500

8    and 700ms – matching the peak of this waveform at frontal electrodes in our data around

9    600ms. We extended statistical analyses of ERP data to this later time window because some

10   studies on emotional sentence (Holt et al., 2009, Delaney-Busch & Kuperberg, 2013; Wang,

11   Bastiaansen, & Yang, 2015) and on moral statement processing (Van Berkum et al., 2009)

12   reported late positivity effects around 600ms.

13        Analyses of N400 and P600 components involved all electrodes: Each time six

14   electrodes were grouped into clusters defining the two topographic factors *crosswise*

15   (anterior, central, posterior) and *longitudinal* (left, middle, right): anterior left: Fp1, AF3, F3,

16   F5, FC3, FT7; anterior middle: F1, F2, Fz, FC1, FC2, FCz; anterior right: Fp2, AF4, F4, F5,

17   FC4, FT8; central left: C3, C5, T7, CP3, CP5, TP7; central middle: C1, C2, Cz, CP1, CP2,

18   CPz; central right: C4, C6, T8, CP4, CP6, TP8; posterior left: P3, P5, P7, PO3, PO7, O1;

19   posterior middle: P1, P2, Pz, POz, Oz, Iz; posterior right: P4, P6, P8, PO4, PO8, O2.

20        3 x 3 x 3 repeated measures ANOVAs were computed over mean amplitudes of

21   clusters including the factors *crosswise* (3 levels: anterior, central, middle), *longitudinal* (3

22   levels: left, middle, right) and *affective deflection* (3 levels: low, medium, high) using

23   Greenhouse-Geisser correction (Picton et al., 2000).

24   Post hoc-comparisons (using Benjamini Hochberg FDR correction for multiple comparisons)

25   were conducted to further explore eventual main effects of the three level factor deflection or

26   interactions of deflection effects with topographic factors.

1    **2.7. Correlation Analyses: Rating Scales**

2    To explore relationships of personality traits and ERP-signals elicited by emotional

3    congruency of sentence final words, Pearson product moment correlation coefficients $r$ were

4    calculated between individual rating scale scores (SPQ-G and NEO-FFI) and individual

5    differences between mean amplitudes per experimental condition for relevant time windows

6    and topographic regions of interest (ROI). The anterior middle cluster of electrodes (= F1, F2,

7    Fz, FC1, FC2, FCz) was used as ROI for the P2/N2 time window between 130-270ms and the

8    central middle cluster (= C1, C2, Cz, CP1, CP2, CPz) was used for the N400 time window

9    between 300-450ms[4].

10                                 **3.  Results**

11    **3.1. Behavioral data**

12    All 38 subjects answered correctly at least two-thirds of the attention questions ($M =$

13    88.63%, $SD = 8.10$%). Response accuracy was not affected by deflection condition [$F(2, 111)$

14    $= .081$, $p = .446$, $N = 38$; low deflection: $M = 10.39$ ($SD = 1.46$), medium deflection: $M =$

15    10.76 ($SD = 1.38$), high deflection: $M = 10.37$ ($SD = 1.67$)]. The behavioral data thus suggest

16    that all participants attentively read and understood the presented sentences.

17    **3.2. EEG data**

18    Figure 2 shows the grand averaged ERPs elicited by target words in the conditions of

19    low, medium, and high affective deflection on three representative electrodes (Fz, CPz, Pz).

20    Target words in the low affective deflection generally elicited more positive ERPs across all

21    three time windows of interest compared to target words completing sentences with medium

22    or high affective deflection. Figure 3 shows topographies of contrasts between relevant

23    conditions in different time windows. Contrasts between two conditions appear generally

24    most pronounced between medium and low deflection conditions. Increasing negativity is

---

[4] Because ANOVAs on ERP data revealed no significant effects between 500-700ms, we did not correlate ERP data with questionnaire scores for this time window.

1    focused on fronto-central electrodes for the early and late time windows and rather centrally

2    distributed for the N400 time window. To illustrate effects, Table 2 presents means and

3    standard deviations for anterior, central, and posterior scalp regions for three conditions of

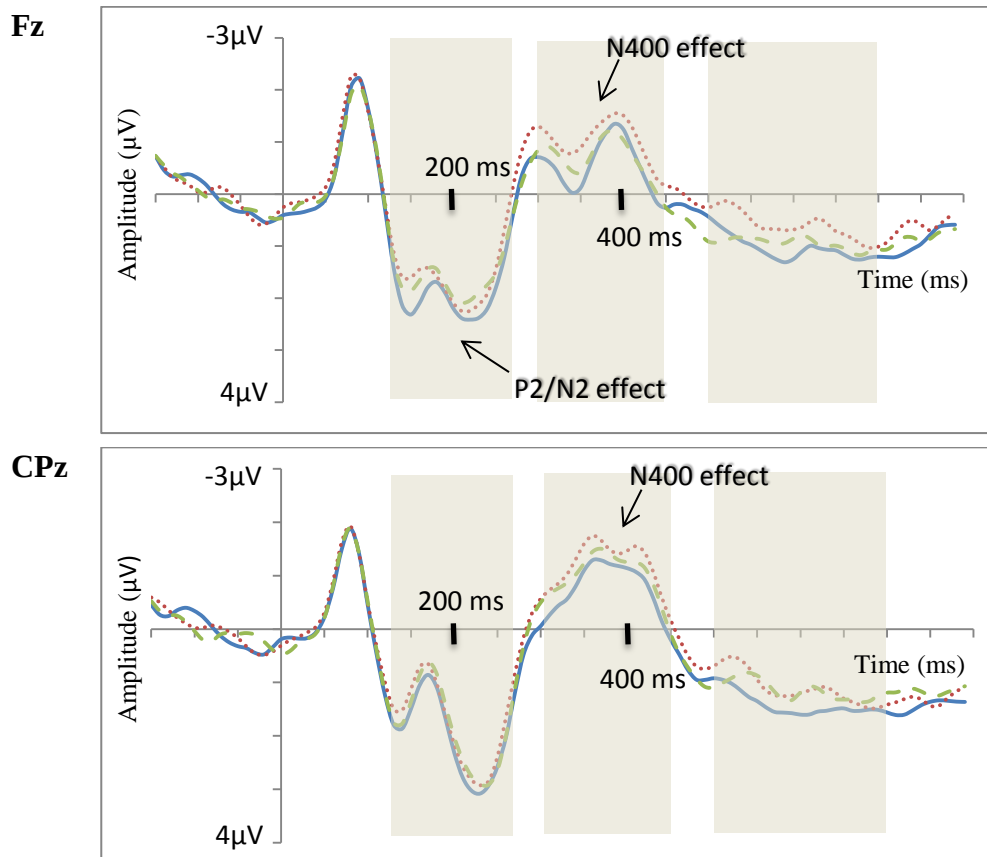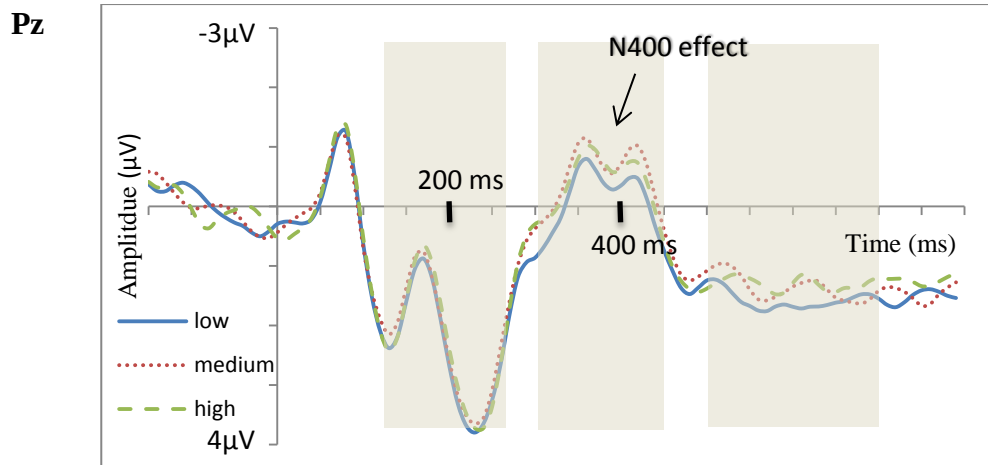4    affective deflection for the three relevant time windows.

5

6                        < Figure 2 & 3 and Table 2 about here >

7

8    **Figure 2** Results a). Grand Averages for electrode Fz, CPz, and Pz were effects were most
9    pronounced.

**Figure 3** Results b). Topographies of contrasts and relevant time ranges.
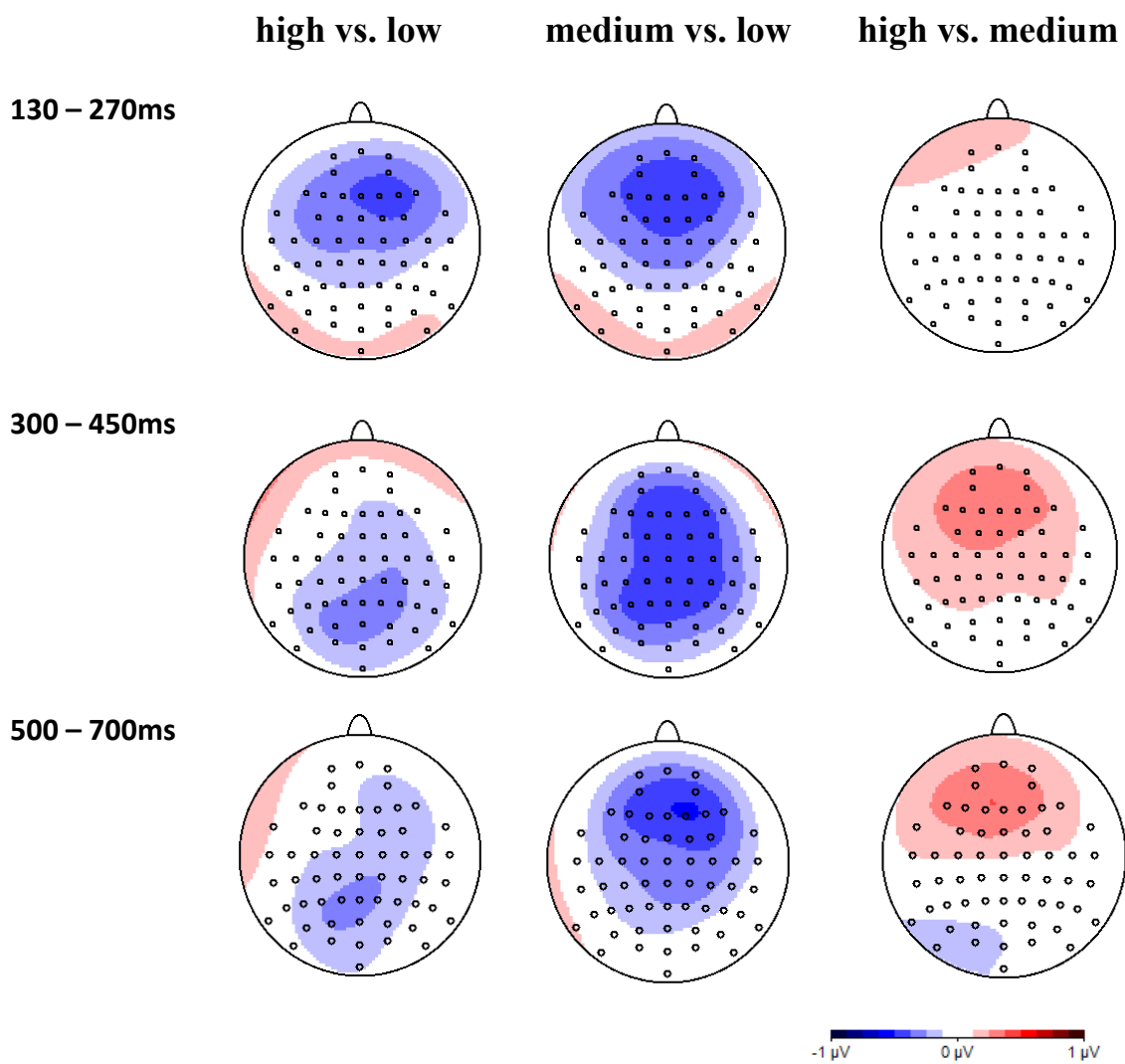
1

2  **Table 2**
3  Means in microvolt and standard deviations in parentheses for anterior, central, and posterior
4  scalp regions for the three conditions of affective deflections for the relevant time windows
5  (N = 38).

| | Timewindow | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|
| | **130-270ms** | | | **300-450ms** | | | **500 – 700 ms** | | |
| | *Condition of affective deflection* | | | | | | | | |
| **Regions** | **low** | **medium** | **high** | **low** | **medium** | **high** | **low** | **medium** | **High** |
| **Anterior** | 1.68 | 1.44 | 1.43 | -.55 | -.90 | -.62 | .96 | .59 | .86 |
| | (2.11) | (2.10) | (2.20) | (2.46) | (2.53) | (2.59) | (1.45) | (1.53) | (1.69) |
| **Central** | 1.59 | 1.43 | 1.42 | -.26 | -.58 | -.40 | 1.35 | 1.13 | 1.22 |
| | (1.63) | (1.59) | (1.74) | (2.17) | (2.17) | (2.12) | (1.35) | (1.27) | (1.21) |
| **Posterior** | 2.88 | 2.81 | 2.83 | .85 | .54 | .62 | 1.32 | 1.21 | 1.14 |
| | (2.18) | (2.27) | (2.27) | (1.65) | (1.83) | (1.70) | (1.09) | (1.21) | (.98) |

6  **3.2.1. Early effect: 130-270ms.** The P2 component can be identified in our grand

7  averages between 100 and 300 ms at frontal electrode sites. However, the waveform of the P2

8  component is modulated by an overlapping negativity which peaks around 170 ms. This

9  negativity could be related to the N2 component itself or to any other effect of the

10  presentation/processing of the stimuli in this specific task. In any case, we based our analysis

11  on the average amplitude values of a time window adapted from previous literature of the

12  N200 effect (e.g. Kotz, 2010; 2011). At these latencies, visual inspection shows an early

13  effect of increased negativity for medium and high vs. low deflection conditions on fronto-

14  central electrode sites, which was confirmed by statistical analyses: The ANOVA for the ROI

15  on anterior electrodes with deflection as a three-level factor (low, medium, high) revealed a

16  main effect of deflection [$F(2, 74) = 3.384, p = .046, \eta^2 = .084$]. Further comparisons showed

17  increased negativity for high deflection condition [$AM_{high\_deflection} = 1.749$ µV, $SD_{high\_deflection} =$

18  2.358) $F(1, 37) = 5.813, p = .021, \eta^2 = .136$] and medium deflection condition

19  [$AM_{medium\_deflection} = 1.748$ µV , $SD_{medium\_deflection} = 2.553$ µV, $F(1, 37) = 6.819, p = .013, \eta^2 =$

20  .156] as compared to low deflection condition ($AM_{low\_deflection} = 2.058$ µV, $SD_{low\_deflection} =$

21  2.432). No significant difference was given for the comparison of high vs. medium

22  deflection, $F(1, 37) < 1$.

1    **3.2.2. N400: 300-450ms.** Visual inspection of the ERP data revealed a classical

2    distribution of the N400 being most pronounced on centro-parietal electrodes and peaking at

3    370ms. ANOVA revealed a main effect of deflection [$F(2, 74) = 3.505$, $p = .038$, $\eta^2 = .087$].

4    Neither the three way interaction [$F(8, 296) < 1$], nor interactions between the factors

5    crosswise [$F(4, 148) < 1$] or longitudinal and deflection [$F(4, 148) < 1$] were significant.

6    Further comparisons showed that only the difference between medium and low deflection

7    with increased negativity for the medium deflection condition was significant [$F(1, 37) =$

8    $7.153$, $p = .011$, $\eta^2 = .162$], while other comparisons revealed no significant effects [$F_{low\text{-}high}$

9    $_{deflection}(1, 37) = 1.898$, $p = .177$, $\eta^2 = .049$; $F_{medium\text{-}high\ deflection}(1, 37) = 1.639$, $p = .208$, $\eta^2 =$

10   $.042$].

11   **3.2.3. Late Positive Complex. 500-700ms** . Visual inspection indicated the classical

12   positive going waveform over all electrodes for this time window with more negative

13   averages for conditions of medium or high compared to the condition of low affective

14   deflection. However, statistical analyses revealed no significant main effect of deflection

15   [$F(2, 74) = 1.720$, $p = .187$ , $\eta^2 = .044$], no three-way interaction [$F(8, 296) = 1.484$, $p =$

16   $.191$, $\eta^2 = .039$] and no interaction between the factors crosswise [$F(4, 148) = 2.120$, $p = .121$

17   , $\eta^2 = .054$] or longitudinal and deflection [$F(4, 148) < 1$**].**

18   **3.3. Rating Scales**

19      Please see Table 3 and 4 for descriptive statistics and resulting correlations.

20   Significant correlations between scores on the SPQ and ERP data were restricted to the

21   contrast between high and medium deflection conditions: The size of this somewhat

22   counterintuitive contrast in our ERP data – less negativity for the high as compared to the

23   medium condition - during both the 300-450ms and the 130-270ms time windows was

24   associated with an increase in total schizotypy scores and in the Subscale of Eccentric

25   Behavior. Furthermore, for the N400 time window, a similar positive association of the

26   counterintuitive ERP contrast was found with the Cognitive Perceptive Factor.

1       NEO-FFI scores displayed additional correlations with ERP components:

2   During the N400 interval, increasing Neuroticism scores were associated with stronger

3   negativity for the high as compared to the medium condition (representing the canonically

4   expected ERP pattern). Further, consistent significant correlations between ERPs from the

5   two time windows were observed concerning Agreeableness: the higher individual

6   Agreeableness scores, the less pronounced the hypothesized relative negativity of ERP waves

7   for high vs. low affective deflection condition..

8

9                               < Table 3 & 4 about here >

10

11  **Table 3**
12  Means, standard deviations, and ranges of rating scale scores for the study sample (N = 38).
13

| Scale | *Mean* | *SD* | *Range* | |
|---|---|---|---|---|
| *SPQ* | | | | *Klein et al. (1997)* |
| SPQ total | 16.68 | 9.97 | 1 – 46 | 21.6 (.28) |
| SPQ IR | 2.55 | 2.32 | 0 – 11 | 3.2 (.36) |
| SPQ SA | 2.26 | 2.04 | 0 – 9 | 2.2 (.27) |
| SPQ MT | 0.87 | 1.4 | 0 – 6 | 1.4 (.20) |
| SPQ UPE | 1.37 | 1.63 | 0 – 5 | 2.4 (.27) |
| SPQ EB | 1.68 | 2.00 | 0 – 7 | 2.2 (.31) |
| SPQ NCF | 1.29 | 2.10 | 0 – 10 | 1.7 (.19) |
| SPQ OS | 3.79 | 2.55 | 0 – 9 | 3.8 (.42) |
| SPQ CA | 1.53 | 1.74 | 0 – 6 | 2.2 (.27) |
| SPQ S | 1.34 | 1.63 | 0 – 7 | 2.4 (.30) |
| SPQ F1 | 11.61 | 7.28 | 1 – 28 | |
| SPQ F2 | 5.08 | 4.61 | 0 – 18 | |
| *NEO-FFI* | | | | *Körner et al. (2008)* |
| N | 2.34 | 0.64 | 0.42 – 3.5 | 1.62 (.62) |
| E | 1.52 | 0.54 | 0.58 – 2.75 | 2.2 (.50) |
| O | 1.11 | 0.46 | 0.25 – 2 | 2.05 (.46) |
| A | 1.33 | 0.51 | 0.25 – 2.25 | 2.54 (.47) |
| C | 1.45 | 0.54 | 0.58 – 2.58 | 2.71 (.55) |

14  *SPQ total* Schizotypy Personality Questionnaire total score, *IR* Ideas of Reference, *SA* Social
15  Anxiety, *MT* Odd Beliefs of Magical Thinking, *UPE* Unusual Perceptual Experience, *EB* Odd
16  or Eccentric Behavior, *NCF* No Close Friends, *OS* Odd Speech, *CA* Constricted Affect, *S*
17  Suspiciousness, *SPQ F1* Cognitive-Perceptual Factor, *SPQ F2* Interpersonal Factor, *N*
18  Neuroticism, *E* Extraversion, *O* Openness, *A* Agreeableness, *C* Conscientiousness
19  In the right columns scale scores from Klein et al. (1997) and Körner et al. (2008) are
20  reported for comparison.
21

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9  **Table 4**
10  Pairwise correlations for amplitude differences between grand averages of different
11  conditions of affective coherence and subscales and total score of Schizotypal Personality
12  Questionnaire (SPQ) and NEO-FFI for early (130 - 270ms) and N400 (300-450ms) time
13  window.

| Effect | Early | | | N400 | | |
|---|---|---|---|---|---|---|
| Cluster | Middle Anterior | | | Middle Central | | |
| | Amplitude Difference for conditions of Affective Deflection | | | | | |
| Scale | high – low | medium – low | high – medium | high – low | medium - low | high – medium |
| SPQ | | | | | | |
| SPQ total | .261 | -.154 | .320* | .172 | -.223 | .356* |
| SPQ IR | -.034 | -.303 | .195 | -.042 | -.242 | .201 |
| SPQ SA | .127 | -.075 | .156 | -.024 | -.107 | .085 |
| SPQ MT | .182 | .013 | .135 | .193 | .006 | .153 |
| SPQ UPE | .312 | -.152 | .360 | .0133 | -.204 | .209 |
| SPQ EB | .232 | -.052 | .223* | .126 | -.285 | .379* |
| SPQ NCF | .160 | .052 | .088 | .171 | -.062 | .200 |
| SPQ OS | .130 | -.006 | .109 | .258 | -.015 | .226 |
| SPQ CA | .256 | -.112 | .286 | .210 | -.101 | .270 |
| SPQ S | .043 | -.150 | .144 | -.046 | -.121 | .080 |
| SPQ F1 | .214 | -.178 | .301 | .142 | -.233 | .342* |
| SPQ F2 | .255 | -.052 | .217 | .147 | -.114 | .230 |
| NEO-FFI | | | | | | |
| N | -.045 | .313 | -.265 | -.097 | .268 | -.340* |
| E | .206 | .259 | -.026 | .111 | .017 | .074 |
| O | -.104 | -.120 | .005 | -.138 | .133 | -.242 |
| A | .343* | .037 | .246 | .349* | .082 | .206 |
| C | .030 | .126 | -.070 | .080 | -.088 | .151 |

14
15  *SPQ total* Schizotypy Personality Questionnaire total score, *IR* Ideas of Reference, *SA* Social
16  Anxiety, *MT* Odd Beliefs of Magical Thinking, *UPE* Unusual Perceptual Experience, *EB* Odd
17  or Eccentric Behavior, *NCF* No Close Friends, *OS* Odd Speech, *CA* Constricted Affect, *S*
18  Suspiciousness, *SPQ F1* Cognitive-Perceptual Factor, *SPQ F2* Interpersonal Factor, *N*
19  Neuroticism, *E* Extraversion, *O* Openness, *A* Agreeableness, *C* Conscientiousness
20  *uncorrected $p < .05$

21                                          **4. Discussion**

22          The present EEG study investigated implicit affective information processing in

23  sentences: We employed a mathematical model of impression formation based on Affect

1  Control Theory (ACT; Heise, 2007) to generate three conditions of emotional congruency for

2  semantically correct sentences describing social interactions, and explored how the interplay

3  between emotional connotations of multiple words influences semantic processing. Thus, the

4  aim of the present study aim was twofold: the study served (a) to contribute new insights to

5  the research field of emotional language processing and (b) to provide, for the first time,

6  neuroscientific evidence with regard to the social psychological model of ACT. Our highly

7  controlled design - regarding variables known to generally influence visual word recognition

8  - allows for ascribing differences between conditions in the EEG-signal to the manipulation

9  of affective coherence alone. Such effects could be revealed in the P2/N2latency range (130-

10  270ms) on frontal electrode sites with more negative amplitudes for affectively incongruent

11  (both medium and high deflection) vs. congruent sentences and in the N400 component (300-

12  450ms) with a centro-parietal scalp distribution, where, in particular, the condition of medium

13  deflection provoked more negative ERP amplitudes compared to congruent sentences. In

14  order to achieve perfect control of basic semantic content across conditions each participant

15  had seen the same target words repeatedly across conditions – only the combination with

16  different preceding sentence contexts (also repeated across conditions in a perfectly balanced

17  manner) determined the experimental manipulation of affective coherence. Our results, in

18  general, support the assumption that affective connotations of words influence semantic

19  sentence processing - even in absence of an explicit emotion processing task. More

20  specifically, the interplay of emotional connotations of different words combined in a

21  sentence seems to provide an initial, basic frame for meaning making in terms of affective

22  coherence and congruency. Furthermore, our data make a strong point for an implicit

23  processing of affective content to shape meaning making already during very early phases of

24  processing. Note that a clear-cut distinction between affective language processing on the one

25  hand and general semantic processing on the other appears difficult in general. Already the

26  origin of the widely used affective scales of valence and arousal makes this very clear: they

1   emerged as dimensions accounting for the greatest amount of variance of semantic

2   differentials (Osgood et al., 1957). Our ERP effects appear well in line with previous findings

3   on semantic congruency effects. Respective effects in our data result from a manipulation of

4   affective coherence via a mathematical model using affective ratings. Rather than assuming

5   affective vs. semantic processing as a dichotomy, our data may best be understood as

6   evidence that affective connotations at the level of single words and affective coherence at

7   the sentence level influence the way our brain processes semantics.

8          Our results provide, further, novel neuroscientific evidence supporting the model of

9   affect control theory from social psychology. According to this theory, reducing the affective

10  deflection between one's conceptual representation of a social situation and one's actions is

11  the core motivational principle that drives human social interaction (Heise, 2007), ensuring

12  compliance of individuals with prevailing cultural norms as the result of an automatic

13  information-processing mechanism (cf. Schröder & Thagard, 2013). Previous empirical tests

14  of that bold claim have shown affective deflection as computed with the mathematical ACT

15  model to predict variables such as likelihood judgments and behaviors (e.g., Heise &

16  MacKinnon, 1987; Schröder & Scholl, 2009). The EEG results reported here add to the body

17  of evidence linking the deflection parameter to real-world observations, buttressing the claim

18  that affect control theory is a genuine multi-level theory of social interaction and emotion (cf.

19  Rogers et al., 2014).

20         Affective coherence violation, operationalized by deflection, elicited an early effect

21  on anterior electrode sites in the time range of the P2/N2 components. Considering the

22  latency, scalp distribution and experimental manipulation, this effect can be related with

23  previously reported N2 effects triggered by conflict detection. The amplitude of the N2

24  component is modulated by different tasks and manipulations, like for example, the Go/No-

25  Go task, oddball paradigm, or sequential matching task. Consequently, the N2 component has

26  been linked to different cognitive processes such as response inhibition, target probability,

1   perceptual novelty, and mismatch detection (for a review see Folstein & Van Petten, 2008).

2   Of special interest for our study is that the N2 effect obtained with the flanker task is

3   enhanced with emotional words compared to neutral words, showing that emotional

4   information can modulate conflict processing (Kanske & Kotz, 2010, 2011). This type of N2

5   effect has been related to activity in the Anterior Cingulated Cortex (ACC), a brain area

6   implicated in conflict monitoring (van Veen & Carter, 2002; Yeung et al., 2004) involving

7   emotional and non-emotional distractors (Egner et al., 2008; 2010b). Although we are aware

8   of the differences between the flanker task and the comprehension task of our experiment, we

9   believe that similar cognitive control is necessary in order to resolve the conflict posed by our

10  sentences in the high and medium deflection conditions. A recent study has also described an

11  N2 effect in a sentence reading experiment, and its authors have made a similar claim in

12  relation with conflicting predictions during reading comprehension (Payne & Federmeier,

13  2017). Accordingly, and because our manipulation of affective coherence can be understood

14  as a manipulation of the degree to which the emotional connotations of the words in a given

15  sentence harmonize or, respectively, clash with each other, we suggest that the present effect

16  is a correlate of conflict detection in terms of affective coherence violation occurring already

17  before lexical processing is completed. Rapid processing of emotion features has been shown

18  to affect the P1, N1, P2 on the level of single words (Bayer, Sommer, & Schacht, 2012;

19  Bernat, Bunce, & Shevrin, 2001; Hofmann et al., 2009) as well as on sentence level for which

20  an emotion effect was observed as early as in the 90-200 ms latency range (Wang et al.,

21  2013), where inconsistent emotions elicited larger N100/P200 (Léon et al., 2010), or where

22  personal disagreement evoked ERP differences in the 200-250 ms latency range (Van Berkum

23  et al., 2009). Therefore, we expected and found violations of affective coherence to impact

24  semantic sentence processing at early stages as a correlate of tracking conflicting emotional

25  information induced by sentence final words representing social norm violations. This early

26  effect was significant only for the contrasts low vs. medium and low vs. high, respectively,

1    but not for the contrast medium vs. high deflection of affective coherence. We assume that

2    this might be due to some kind of cut-off mechanism during early stages of semantic

3    processing, i.e., until some degree of affective deflection the brain perceives a linguistically

4    represented social interaction as emotionally congruent whereas all deflections larger than

5    this will be judged as affectively incoherent without any further differentiation. Such a

6    categorical processing style of emotional features in language processing has also been

7    suggested by Estes and Adelman (2008) in the case of lexical decision times for differently

8    valenced words. In the framework of ACT, the P2/N2 effect can be described, accordingly, as

9    a correlate of the "mental stumbling" when we encounter linguistic representations of

10   situations which are affectively incoherent; likely reflecting a rapid reciprocal link of cultural

11   norms and values and contextual affective meaning in language processing.

12         In general terms, the present N400 effect with increased negativity in response to

13   increasing affective deflection when comparing medium and low deflection conditions

14   corresponds to canonical incongruency effects reported to sentence final words, which violate

15   preceding sentential context in terms of semantic correctness or predictability (e.g. Kutas &

16   Hillyard, 1980; Kutas & Federmeier, 2011; Hagoort et al., 2004; Lau, Holcomb, &

17   Kuperberg, 2013). But note that our data does neither involve perfectly gradual N400

18   deflection effects, nor a shared pattern of effects for high and medium deflection conditions

19   as the high deflection condition did not produce significant differential effects in the N400

20   time window. On the other hand, our results suggest that the N400 is sensitive to subtle

21   violations of affective congruency (as represented by sentences in the medium deflection

22   condition), which go beyond superficial semantic processing. In other words, our data

23   suggest that affective meaning making is a basic constituent of semantic processing as – at

24   least certain forms of - affective incongruence seem to affect what is generally understood as

25   the most prominent marker of semantic processing in sentence reading. While Wang et al.

26   (2011) and Hehman et al. (2014) found that the N400 is sensitive to social expectancy and

1    Van Berkum et al. (2009) revealed it to be sensitive to individual value systems, our study

2    extends these findings to more general prevailing cultural norms and values which are not

3    bound to individual political beliefs or delimited social subject matters such as stereotypical

4    convictions. Moreover and importantly, our data show that these neuronal correlates of

5    meaning making and their sensitivity to cultural norms can partly be predicted using general

6    affective meaning ratings and an ACT-based mathematical formalization of impression

7    formation.

8         Going into more detail, we found that while our design including three different

9    conditions of affective coherence seems a priori well suited for capturing potential gradual

10   effects of this measure, the N400 effect of affective coherence was significant only for the

11   contrast of low versus medium deflection. We consider two potential reasons for why

12   increasing affective incoherence does not necessarily lead to gradual, linear effects across all

13   conditions: A material-based one concerning the mental representation of deviant social

14   events, and a personality-based one, which emphasizes N400 sensitivity to specific trait

15   characteristics, for which we correlated our ERP data with participants' scores on personality

16   questionnaires in an exploratory approach. Please note that both are post-hoc attempts to

17   explain a potentially interesting but a priori unexpected detail of our findings, which might

18   encourage future research.

19        As affective deflection – according to the ACT theory - represents violations of social

20   norms, the a priori hypothesis of linearly increasing effects of the deflection manipulation

21   may not be met in the case of particularly high deflection, because – at least at a conscious

22   processing level – also an explicit "breaking the rules" attitude appears predictable to some

23   degree in any norm system. One might expect such "paradoxical coherence" effects to be

24   restricted to comparably late and more conscious processing stages as reflected by the N400 –

25   as opposed to earlier more automatic processing stages. Whereas both medium and high

26   deflection conditions had significantly differed from the low deflection condition at early

1    processing stages during the P2/N2 time window – an effect we interpret as "mental

2    stumbling" involving a cut-off mechanism impeding further differentiation between medium

3    and strong norm violations, now, at later, more elaborate processing stages, the integration of

4    very strong norm violations into elaborate mental schemas may be more easy when meeting

5    prototypical patterns. In other words, the absence of an N400 effect for the high vs. low

6    deflection contrast might be explained by mental schemas or prototypical representations of

7    immoral events for which people form mental representations during the process of growth

8    and acculturation. Acquiring knowledge about which acts are allowed to do ("pet the cat")

9    and which are not ("don't slap the cat") is probably among the first learning experiences of

10   children becoming active parts of society; thus, it is not so far off the mark to assume that

11   people hold mental representations for strong norm violations which serve as source for

12   normativity judgments of social events in everyday life. Empirically, this approach is

13   supported, for instance, by Ask and Fransson (2001) who reported faster correct reaction

14   times in a morality judgment (immoral/moral) task to sentences describing prototypical

15   immoral events as compared to sentences describing non-typical immoral events; providing

16   evidence for prototypic representations of norm deviations. Prototypical representations,

17   hence, should allow for faster, i.e., easier integration processes reflected by a decreased N400

18   effect. Accordingly, although facing a null effect here, we carefully hypothesize post-hoc that

19   sentence-final words with a strong affective deflection representing strong norm violations

20   might be more easily integrated in sentence processing than weaker norm violations, because

21   their accessibility is facilitated by existing schemas. The fact that comparing the high

22   deflection condition to the "baseline" of low deflection resulted in the above described null

23   effect only concerned the relatively late N400 window - whereas conflict monitoring in the

24   earlier time window resulted in increased negativity for both medium and high deflection

25   conditions – may support the idea of a 'conscious locus' of the discussed null effect for strong

26   norm violations at relatively late processing stages.

1    Moreover, note that such more or less conscious attitudes toward violation of social

2    norms presumably vary considerably across individuals. While resulting inter-individual

3    variance represents an interesting topic for personality research, it may also prevent effects

4    for the condition of high deflection from reaching significance in analyses treating

5    participants as a homogenous group. Personality traits have the potential to influence

6    language processing and related brain waves, e.g. schizotypy and the N400 (Kiang, 2010;

7    Kutas, 2006). Our correlation analyses of personality characteristics for the early and the

8    N400 effect may indeed offer some potentially interesting results: all correlations emerging as

9    significant – though uncorrected for multiple testing - in either of both processing stages

10   (note their general consistency across early and late ERP effects) involve the high affective

11   deflection condition : Higher scores on *Agreeableness* seem to be associated with decreased

12   respective ERP effects in the early as well as in the N400 time window, potentially due to an

13   *Agreeableness*-related judgment style more liberally semantically integrating even immoral

14   events. On the other hand, increasing *Neuroticism* appeared to be associated with an

15   increased N400 for the comparison of high vs. medium affective deflection, that is,

16   Neuroticism may go along with a tendency to pay close attention to existing social norms.

17   Higher schizotypy appears correlated with a decreased effect only for the comparison of high

18   vs. medium affective deflection in the early and the N400 time window. Besides a potential

19   general alteration of semantic processing associated with schizotypy, this might reflect a less

20   strict normativity judgment style concerning obvious or high deflection norm violations

21   apparently due to high schizotypal persons' own tendency to show more *Eccentric Behavior*..

22   Together, these correlation results (uncorrected for multiple comparisons) emerge

23   from a clearly exploratory approach on our data connecting some particular and rather

24   unexpected findings among our results from the field of neuroscience with personality

25   differences. Still, these findings may suggest that the failure to obtain an N400 modulation

26   for the sentences representing particularly strong affective norm violations at the group level

1    may partly be due to personality differences. Further, our correlation data,, may still offer an

2    interesting perspective on the way personality traits influence how people represent social

3    interactions regarding their norm compliance, particularly in the case of strong norm

4    violations and inspire future research -  in particular as separate personality characteristics

5    seemed to have differential influences on early, automatic, and later N400, i.e. more

6    controlled, processing stages.

7         But note also that all of our sentences were of extremely low cloze probability and

8    contextual constraints, which may represent another reason for why our N400 effects are in

9    general less robust compared to studies involving really sharp contrasts between regular and

10   irregular sentences.

11        Taken together, our findings make a strong case for emotional congruency to

12   automatically influence meaning making during online sentence processing. Future studies

13   should further investigate the mental and neural reactions to strong norm violations, while

14   especially taking into account potential relationships between personality characteristics and

15   moral judgment style during automatic vs. more controlled processing stages. Our

16   preliminary findings suggesting such associations might serve to promote a holistic approach

17   to the investigation of the role of affective processing in the process of meaning making. In

18   order to investigate how our findings obtained for a manipulation of affective coherence of

19   sentences relate to emotion processing in the brain in a stricter sense, i.e., involving the

20   activation of brain structures typically associated with affect, like, e.g., the limbic system,

21   future studies might employ neuroscientific methods allowing for a more profound "insight"

22   into the brain, e.g. fMRI, as recent studies have already shown that the overall affective

23   content of words (e.g., Kuchinke et al., 2005) and sentences (e.g., Hsu et al., 2015) triggers

24   activation in emotion specific brain areas during the reading process.

25   .

26

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1                                          Appendix

2  **Calculation of affective coherence**

3  For calculating the degree of affective coherence of each sentence stimulus, we used

4  impression-formation equations fitted for the German language by Schröder (2011). Affective

5  coherence is reversely determined as the deflection (D) between the basic without-context

6  sentiments (basic EPA-profile) and the transient affective meaning (transient EPA-profile) of

7  involved concepts (actor, behavior, object) in a given context:

8  $D = (A'_e - A_e)^2 + (A'_p - A_p)^2 + (A'_a - A_a)^2 + (B'_e - B_e)^2 + (B'_p - B_p)^2 + (B'_a$

9  $- B_a)^2 + (O'_e - O_e)^2 + (O'_p - O_p)^2 + (O'_a + O_a)^2$

10  where $A$, $B$, and $O$ label basic without-context EPA-ratings of Actor, Behavior, and Object.

11  $A'$, $B'$, and $O'$ label the transient EPA-ratings of the same concepts within a given context.

12  The indeces $e$, $p$, and $a$ label the ratings in each dimension of evaluation, potency, and

13  activity.

14  **Transient EPA-profile of an actor ($A'$)**

15  $A'_e = -.38 + .42*A_e - .11*A_a + .47*B_e + .11*O_e + .05*A_e*B_e + .06*A_e*O_a +$

16  $.09*A_a*O_e + .09*A_a*O_a + .04*B_e*O_e - .07*B_e*O_a - .13*B_p*O_e -$

17  $.03*A_e*B_e*O_p + .02*A_e*B_p*O_e - .02*A_p*B_p*O_e + .03*A_p*B_e*O_a$

18  $A'_p = -.03 + .39*A_p + .08*A_a - .07*B_e + .57*B_p - .20*O_p + .16*O_a -$

19  $.04*A_p*B_a - .07*A_a*O_p + .03*B_a*O_e + .06*B_a*O_p + .02*A_e*B_p*O_a +$

20  $.02*A_p*B_a*O_a$

21  $A'_a = .10 + .39*A_a - .13*B_e + .14*B_p + .52*B_a - .03*A_p*B_a - .03*A_p*O_e -$

22  $.06*A_a*B_a + .04*A_a*O_p + .07*B_p*O_p - .04*A_a*B_a*O_p$

23  **Transient EPA-profile of a behavior ($B'$)**

24  $B'_e = -.72 + .23*A_e + .51*B_e + .20*O_e + .06*A_e*B_e + .08*A_e*B_p + .04*A_e*O_e - .04*A_e*O_p +$

25  $.05*A_a*O_p + .09*A_a*O_a + .06*B_e*O_e - .09*B_e*O_a - .10*B_p*O_e + .03*A_e*B_p*O_a -$

26  $.05*A_a*B_a*O_p$

1  $B'_p = -.05 + .17*A_p + .10*A_a + .66*B_p + .02*A_e*B_a + .04*A_e*O_a - .09*A_a*B_p$

2  $- .05*B_e*O_a + .02*B_p*O_e - 01.*A_e*B_a*O_p + .02*A_p*B_p*O_a +$

3  $.03*A_a*B_e*O_a$

4  $B'_a = .18 + .28*A_a - .06*B_e + .62*B_a - .02*A_e*B_e - .03*A_p*O_e - .07*A_a*B_a +$

5  $.04*B_e*O_p + .04*B_e*O_a + .08*B_a*O_p + .02*A_e*B_a*O_e + .02*A_p*B_a*O_e -$

6  $.03*A_a*B_a*O_p + .03*A_a*B_a*O_a$

7  **Transient EPA-profile of an object ($O'$)**

8  $O'_e = -.15 + .10*A_p + .13*B_e + .38*O_e + .06*A_e*B_e + .03*A_e*O_e - .04*A_p*B_p$

9  $- .03*A_a*B_e + .04*A_a*O_p - .06*B_p*O_a$

10  $O'_p = -.26 - .28*A_p + .17*B_e - .54*B_p + .15*B_a + .40*O_p + .03*A_e*O_p +$

11  $.08*A_p*B_a + .09*A_a*O_e + .06*A_a*O_p - .06*B_p*O_e - .03*A_p*B_a*O_a$

12  $O'_a = -.57 - .18*A_p + .28*O_a + .05*A_p*B_a + .05*A_p*O_p + .03*A_a*B_e -$

13  $.08*B_p*O_e + .08*B_p*O_p + .01*Ae*Be*OA + .01*Ae*Ba*Oe -$

14  $.03*Ap*Bp*Op - .03*Aa*Be*OA - .02*Aa*Ba*Oa$