#### ON INVERTIBLE *m*-ISOMETRICAL EXTENSION OF AN *m*-ISOMETRY.

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ABSTRACT. We give necessary and sufficient conditions on an *m*-isometry to have an invertible *m*-isometrical extension. As particular cases, we give a useful characterization for a general *m*-isometrical unilateral weighted shift and for  $\ell$ -Jordan isometries. In particular, every  $\ell$ -Jordan isometry operator has an invertible  $(2\ell - 1)$ -isometrical extension.

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## 1. INTRODUCTION<sup>1</sup>

In the last twenty years there has been an intense research activity on *m*-isometries. In this paper, we focus our attention on characterizing *m*-isometries that have an invertible extension that is also *m*-isometry.

The notion of *m*-isometric operator on a Hilbert space was introduced by J. Agler [2] and studied in detail shortly after by J. Agler and M. Stankus in three papers [4, 5, 6]. These publications can be considered the first ones to initiate this topic of study.

An operator  $T \in L(H)$ , the algebra of all bounded linear operators acting on a Hilbert space *H*, is called an *m*-isometry, for some positive integer *m*, if

$$\sum_{k=0}^{m} \binom{m}{k} (-1)^{k} T^{*k} T^{k} = 0$$
 ,

where  $T^*$  denotes the adjoint operator of T. When m = 1, we obtain an isometry. It is said that T is a *strict m-isometry* if either m = 1 or T is an *m*-isometry with m > 1 but it is not (m - 1)-isometry.

As one should expect, *m*-isometries share many important properties with isometries. For example, the following dichotomy property: the spectrum of an *m*-isometry is the closed unit disc if it is not invertible or a closed subset of the unit circle if it

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is invertible [4]. Also, if *T* is an *m*-isometry, then *T* is bounded below; that is, there exists M > 0 such that  $||Tx|| \ge M ||x||$  for every  $x \in H$ .

Given an *m*-isometry  $T \in L(H)$ , we are interested in research conditions which guarantee the existence of a Hilbert space *K* and an operator  $S \in L(K)$ , which is an extension of *T*, such that *S* is an invertible *m*-isometry. To say that  $S \in L(K)$  is an *extension* of  $T \in L(H)$  means that *K* contains an isometric subspace to *H*, which we denote also by *H*, and the restriction  $S_{|H}$  from *H* to *H* coincides with *T*.

# **Problem 1.1.** *Characterize those m-isometric operators which have an invertible m-isometrical extension.*

In 1969 Douglas [13] obtained that any isometry in a Banach space has an invertible isometric extension, also valid in a Hilbert space context. So, the case m = 1 holds. For  $m \ge 2$ , first immediate consideration is that m must be odd, since every invertible m-isometry with even m is an (m - 1)-isometry by [4, Proposition 1.23].

Our problem is similar to others that arise naturally in Operator Theory and can be formulated in very general terms as follows. Given a class C of operators, for example defined on Hilbert spaces, and given a property P relative to those operators, we wish to characterize the operators that have an extension in the class C with property P.

Let  $T \in L(H)$  and  $S \in L(K)$  with H a closed subspace of K. Denote by  $P_H$  the orthogonal projection of K onto H and by J the inclusion of H into K. It is said that

- *S* is a *lifting* of *T* if  $P_H S = TP_H$ .
- *S* is a *dilation* of *T* if  $T^n = P_H S^n J$ , for every  $n \in \mathbb{N}$ .

Many authors have studied, for a given bounded linear operator  $T \in L(H)$ , some additional properties of extension, lifting, or dilation of the operator *T*. The following results are known and respond to these problems :

- Every contraction has an extension which is an unitary dilation and a lifting which is an isometry. See [16].
- Every isometry has a unitary extension. See [13].
- Every operator *T* such that the norms of its powers grow polynomially has an extension which is an *m*-isometric lifting for some integer  $m \ge 1$ . See [9].

Notice that the norms of the powers of an *m*-isometry have a polynomial behaviour (see part (1) of Proposition 2.1). However, there are operators such that those norms have a polynomial behaviour that are not *m*-isometries. In [9], the authors study lifting and dilations which are *m*-isometries. In particular, they obtain that if *T* is an *m*-isometry, then *T* has an (m + 3)-isometric lifting with other additional properties.

A special class of *m*-isometric operators is the  $\ell$ -Jordan isometries; that is, operators which are the sum of an isometry and an  $\ell$ -nilpotent operator which commute. It is known that every  $\ell$ -Jordan isometry is a strict  $(2\ell - 1)$ -isometry, but the converse is not valid. However, every strict *m*-isometry on a finite dimensional Hilbert space is an  $\frac{(m+1)}{2}$ -Jordan isometry operator. See [12, 17, 3] for more details.

Another natural and important examples of *m*-isometries are certain weighted shift operators. In [1, 11], the authors obtained a characterization of weighted shift which are *m*-isometric.

We summarize the contents of the paper. In Section 2, we define a bilateral sequence of operators associated to an *m*-isometry that allow us to transfer important information of the *m*-isometry to the bilateral sequence, that it will be an important tool in the paper. In Section 3, we present some necessary conditions to obtain an invertible *m*-isometrical extension. The main results are given in Section 4 where we obtain characterizations for an *m*-isometry to have an invertible *m*-isometrical extension. Finally, in Section 5, we present particular classes of *m*-isometries for which one can obtain nice results. In particular, we give a useful characterization for a general *m*isometrical unilateral weighted shift and for  $\ell$ -Jordan isometries. In particular, every  $\ell$ -Jordan isometry operator has an invertible  $(2\ell - 1)$ -isometrical extension.

## 2. Some previous results

In this section, we define a bilateral sequence of operators associated to an *m*-isometry, that allow us to transfer important information of the *m*-isometry to the bilateral sequence that it will be relevant for obtaining necessary conditions for having an invertible *m*-isometrical extension.

Any polynomial of degree less or equal to m - 1 is uniquely determined by its values at *m* distinct points. If  $a_0, a_1, \ldots, a_{m-1}$  are given real (or complex) numbers,

then the unique polynomial p of degree less or equal to m - 1 satisfying  $p(k) = a_k$  for all  $k \in \{0, 1, ..., m - 1\}$  is giving by Lagrange interpolating polynomial

$$p(z) = \sum_{k=0}^{m-1} a_k \prod_{\substack{0 \le j \le m-1 \\ j \ne k}} \frac{z-j}{k-j}$$

Note that

$$p(n) = \sum_{k=0}^{m-1} a_k b_k(n)$$

with

$$b_k(n) := \prod_{\substack{0 \le j \le m-1 \\ j \ne k}} \frac{n-j}{k-j} = (-1)^{m-k-1} \frac{n(n-1)\dots(n-k)\cdots(n-m+1)}{k!(m-k-1)!}$$
(2.1)

where (n-k) means that the factor (n-k) is omitted.

Given  $T \in L(H)$ , define the bilateral sequence by

$$D_n := \sum_{k=0}^{m-1} b_k(n) T^{*k} T^k , \qquad (2.2)$$

for every  $n \in \mathbb{Z}$ . Clearly  $D_n \in L(H)$  and it is self adjoint operator for every  $n \in \mathbb{Z}$ .

Denote  $p_x(k) := \langle D_k x, x \rangle$  for every  $x \in H$  and  $k \in \mathbb{Z}$ .

Given  $T \in L(H)$ , denote T > 0 if  $\langle Tx, x \rangle > 0$  for every  $x \in H \setminus \{0\}$  and we call it *strictly positive operator*.

We concentrate now on the family  $(D_n)_{n \in \mathbb{Z}}$  of operators which arise from a fixed *m*-isometry. Indeed, the bilateral sequence  $(D_n)_{n \in \mathbb{Z}}$  has some interesting properties that will be important tools to solve Problem 1.1.

**Proposition 2.1.** Let  $T \in L(H)$  be an *m*-isometry and  $(D_n)_{n \in \mathbb{Z}}$  be operators defined by (2.2). *Then* 

- (1) [11, Theorem 2.1] & [4]  $D_n = T^{*n}T^n$  and  $p_x(n) = \langle D_n x, x \rangle = ||T^n x||^2 > 0$  for every  $x \in H \setminus \{0\}$  and  $n \in \mathbb{N} \cup \{0\}$ . Henceforth, there exists the square root  $D_n^{1/2}$  of  $D_n$ , for every  $n \in \mathbb{N} \cup \{0\}$ .
- (2)  $D_n$  is invertible for every  $n \in \mathbb{N} \cup \{0\}$ .
- (3)  $T^{*k}D_nT^k = D_{n+k}$  for every  $n \in \mathbb{Z}$  and  $k \in \mathbb{N}$ .

- (4) Let  $y \in R(T^k)$  for some  $k \in \mathbb{N}$ . Then  $p_y(-k) = ||x||^2$ , where  $y = T^k x$ .
- (5) If  $D_{-n} > 0$  and invertible, then  $D_{-k} > 0$  and invertible for every  $k \in \{1, 2, \dots, n-1\}$ .

*Proof.* (2) Let  $n \in \mathbb{N}$ . By [14, Theorem 2.3] & [10, Theorem 3.1], any power of T,  $T^n$  is an *m*-isometry, so,  $T^n$  is bounded below. Hence

$$||D_n x|| ||x|| \ge |\langle D_n x, x \rangle| = \langle D_n x, x \rangle = ||T^n x||^2 \ge M(n)^2 ||x||^2$$

where M(n) > 0. That is,  $D_n$  is bounded below. Then trivially  $D_n$  is invertible since  $D_n$  is self adjoint operator.

(3) It is enough to prove the required equality for k = 1. Observe that

$$p_{Tx}(n) = ||T^n Tx||^2 = ||T^{n+1}x||^2 = p_x(n+1)$$
,

for every  $n \in \mathbb{N}$  and

$$\langle D_{n+1}x,x\rangle = p_x(n+1) = p_{Tx}(n) = \langle D_nTx,Tx\rangle = \langle T^*D_nTx,x\rangle$$

for every  $n \in \mathbb{Z}$ .

(4) Let  $y = T^k x$  for some  $k \in \mathbb{N}$  and  $x \in H$ . Then

$$p_y(n) = p_{T^k x}(n) = p_x(k+n)$$
,

for every  $n \in \mathbb{N}$ . Therefore  $p_y(n) = p_x(k+n)$  for every  $n \in \mathbb{Z}$ .

(5) Let  $k \in \{1, 2, \dots, n-1\}$  and  $x \in H \setminus \{0\}$ . If  $D_{-n} > 0$ , then by part (3),

$$\langle D_{-k}x,x\rangle = \langle T^{*n-k}D_{-n}T^{n-k}x,x\rangle = \langle D_{-n}T^{n-k}x,T^{n-k}x\rangle > 0.$$
(2.3)

Since  $T^{n-k}$  is bounded below and by (2.3), we have that

$$\|D_{-k}^{1/2}x\|^2 = \|D_{-n}^{1/2}T^{n-k}x\|^2 \ge M\|x\|^2$$
.

So, the result is obtained since  $D_{-k}$  is a self adjoint operator.

We close this section by studying the bilateral sequence  $(D_n)_{n \in \mathbb{Z}}$  associated to unilateral weighted shift which are *m*-isometries.

Let *H* be a Hilbert space with an orthonormal basis  $(e_n)_{n \in \mathbb{N}}$ . Recall that the unilateral weighted shift given by  $S_w e_n = w_n e_{n+1}$  on *H*, where  $w_n = \sqrt{\frac{p(n+1)}{p(n)}}$  with *p* a polynomial of degree m - 1, is a non invertible strict *m*-isometry, [1]. Also

$$p_{e_j}(n) = \|S_w^n e_j\|^2 = |w_j w_{j+1} \cdots w_{n+j-1}|^2 = \frac{p(j+n)}{p(j)}.$$
(2.4)

The following proposition gives an explicit expression of the operator  $D_n$ , when T is an *m*-isometrical unilateral weighted shift operator.

**Proposition 2.2.** Let *H* be a Hilbert space with orthonormal basis  $(e_n)_{n \in \mathbb{N}}$  and let  $S_w \in L(H)$  be an *m*-isometrical unilateral weighted shift with weight sequence  $w = (w_n)_{n \in \mathbb{N}}$ . Then

(1)  $D_n$  is a diagonal operator for every  $n \in \mathbb{Z}$ , with diagonal

$$\lambda_n(j) := \sum_{k=0}^{m-1} b_k(n) \prod_{\ell=j}^{j+k-1} |w_\ell|^2$$
 ,

where  $b_k(n)$  is giving by (2.1).

- (2) Let  $n \in \mathbb{Z}$ . The following conditions are equivalent
  - (a)  $D_n$  is invertible.
  - (b)  $D_n > 0$ .
  - (c)  $\lambda_n(j) > 0$  for every  $j \in \mathbb{N}$ .

*Proof.* (1) By [1], there exists a polynomial p of degree m - 1, such that the weights are given by  $w_n = \sqrt{\frac{p(n+1)}{p(n)}}$ . So,

$$D_{n}e_{j} = \sum_{k=0}^{m-1} b_{k}(n) S_{w}^{*k} S_{w}^{k} e_{j} = \sum_{k=0}^{m-1} b_{k}(n) \prod_{\ell=j}^{j+k-1} |w_{\ell}|^{2} e_{j}$$

$$= \sum_{k=0}^{m-1} b_{k}(n) \frac{p(j+k)}{p(j)} e_{j} = \lambda_{n}(j) e_{j},$$
(2.5)

where

$$\lambda_n(j) = \sum_{k=0}^{m-1} b_k(n) \frac{p(j+k)}{p(j)} .$$
(2.6)

(2) It is immediate by (1).

In general, the converse of part (5) of Proposition 2.1 is not valid. A suitable choose of the weight sequence gives an example such that  $D_{-q} > 0$  and  $D_{-(q+1)}$  is not positive for some  $q \in \mathbb{N}$ .

**Example 2.3.** Let  $q \in \mathbb{N}$  and define  $p_q(n) := (n+q)(n+q+1)$ . Then  $S_w$  with weight  $w_n = \sqrt{\frac{p_q(n+1)}{p_q(n)}}$  is a 3-isometry and it satisfies that  $D_{-n} > 0$  and invertible for  $n \in \{1, \dots, q\}$  and  $D_{-(q+1)}$  is not. In fact,

$$\lambda_{-n}(j) := \frac{p_q(j-n)}{p_q(j)} = \frac{(j+q-n)(j+q-n+1)}{(j+q)(j+q+1)},$$

for  $n \in \mathbb{N}$ . If  $n \in \{1, \dots, q\}$ , then we have that -q - 1 + n < -q + n < 0. Hence,  $\lambda_{-n}(j) > 0$ , for every  $j \in \mathbb{N}$ . If n = q + 1,

$$\lambda_{-(q+1)}(j) = \frac{j(j-1)}{(j+q)(j+q+1)} .$$

Hence  $\lambda_{-(q+1)}(1) = 0$  and consequently  $\langle D_{-(q+1)}e_1, e_1 \rangle = 0$ .

## 3. Necessary conditions of having an invertible *m*-isometrical extension

In an attempt towards solution of finding necessary conditions to obtain an invertible *m*-isometrical extension, we draw upon an interesting connection between  $D_{-1} > 0$  and the invertibility of  $D_{-1}$  with the existence of a particular *m*-isometrical extension. Notice that in the following theorem we do not obtain an invertible *m*-isometrical extension.

**Theorem 3.1.** Let  $T \in L(H)$  be an *m*-isometry. The following statements are equivalent:

- (*i*) There exist a Hilbert space  $K \supset H$  and an m-isometry  $S \in L(K)$  such that  $S_{|H} = T$ and R(S) = H.
- (ii)  $D_{-1} > 0$  and  $D_{-1}$  is invertible.

*Proof.* (*i*) $\Rightarrow$ (*ii*): Let  $x \in H$  and  $y = S^{-1}x \in K$ . For  $n \in \mathbb{Z}$ , denote

$$\widetilde{D}_n := \sum_{k=0}^{m-1} b_k(n) S^{*k} S^k, \quad D_n := \sum_{k=0}^{m-1} b_k(n) T^{*k} T^k$$

and for  $n \in \mathbb{N}$ 

$$\widetilde{p}_x(n) := \|S^n x\|^2, \quad p_x(n) := \|T^n x\|^2,$$

where  $b_k(n)$  is given by (2.1). Then

$$\begin{split} \langle \widetilde{D}_{-1}x, x \rangle &= \langle \widetilde{D}_{-1}Sy, Sy \rangle = \langle S^* \widetilde{D}_{-1}Sy, y \rangle = \langle \widetilde{D}_0 y, y \rangle = \|y\|^2 \\ &= \sum_{k=0}^{m-1} b_k(-1) \langle S^{*k} S^k x, x \rangle = \sum_{k=0}^{m-1} b_k(-1) \langle T^k x, T^k x \rangle \\ &= \langle D_{-1}x, x \rangle \;. \end{split}$$

Then  $\langle \widetilde{D}_{-1}x, x \rangle = ||y||^2 = \langle D_{-1}x, x \rangle \ge 0$  for all  $x \in H$ . Also

$$||D_{-1}x|| ||x|| \ge \langle D_{-1}x, x \rangle = ||y||^2 \ge \frac{||Sy||^2}{||S||^2} = \frac{||x||^2}{||S||^2}$$

So,  $D_{-1} > 0$  and bounded below. Hence  $D_{-1}$  is invertible since  $D_{-1}$  is self adjoint operator.

 $(ii) \Rightarrow (i)$ : Consider the vector space  $H \times H$  with a new seminorm

$$|||(h,h')||| := ||D_{-1}^{1/2}(Th+h')||$$

and the subspace

$$N := \{(h, h') \in H \times H : |||(h, h')||| = 0\}$$

Let  $K := (H \times H) / N$  with the quotient norm

$$|||(h,h') + N||| := ||D_{-1}^{1/2}(Th + h')||$$

Then *K* is a normed space. Let us prove that  $||| \cdot |||$  satisfies the parallelogram law. For u = (h, h') + N and v = (g, g') + N in *K* we have

$$\begin{split} |||u+v|||^2 + |||u-v|||^2 &= \langle D_{-1}(Th+h'+Tg+g'), Th+h'+Tg+g' \rangle \\ &+ \langle D_{-1}(Th+h'-Tg-g'), Th+h'-Tg-g' \rangle \\ &= 2\langle D_{-1}(Th+h'), Th+h' \rangle + 2\langle D_{-1}(Tg+g'), Tg+g' \rangle \\ &= 2|||u|||^2 + 2|||v|||^2. \end{split}$$

Henceforth, *K* is a pre-Hilbert space. The linear mapping  $\phi : K \longrightarrow H$  defined by  $\phi((h, h') + N) = Th + h'$  is an isomorphism. Indeed,  $\phi$  is bounded since  $D_{-1}$  is an invertible operator. It is clear that  $\phi$  is onto and bounded below since the square

root of  $D_{-1}$  is a bounded operator. Hence *K* is complete and so it is a Hilbert space. Moreover,

$$|||(h,0) + N|||^{2} = ||D_{-1}^{1/2}(Th)||^{2} = \langle D_{-1}Th, Th \rangle = \langle T^{*}D_{-1}Th, h \rangle = ||D_{0}h||^{2} = ||h||^{2}.$$

So *K* contains *H* as a subspace and we identify  $h \in H$  with  $(h, 0) + N \in K$ .

Define *S* on *K* by ((h, h') + N) := (Th + h', 0) + N. The operator *S* is well defined and bounded:

$$\begin{split} |||S\Big((h,h')+N\Big)|||^2 &= |||(Th+h',0)+N|||^2 = \|D_{-1}^{1/2}(T(Th+h'))\|^2 \\ &= \langle D_{-1}(T(Th+h')), T(Th+h') \rangle = \langle D_0(Th+h'), Th+h' \rangle \\ &= \|Th+h'\|^2 \le \|D_{-1}^{-1/2}\|^2 \|D_{-1}^{1/2}(Th+h')\|^2 \\ &= \|D_{-1}^{-1/2}\|^2 |||(h,h')+N|||^2 \,. \end{split}$$

Clearly *S* is an extension of *T*. Let  $h \in H$ . We have identified *h* with  $(h, 0) + N \in K$  and S((h, 0) + N) = (Th, 0) + N. Also SK = H.

Let us prove that *S* is an *m*-isometry. Let  $u = (h, h') + N \in K$  and write  $y := Th + h' \in H$ . We have that Su = (y, 0) + N,  $S^k u = (T^{k-1}y, 0) + N$  and  $|||S^k u|||^2 = ||D_{-1}^{1/2}(T^k y)||^2 = ||T^{k-1}y||^2$  for  $k \in \mathbb{N}$ . So

$$\begin{split} \sum_{k=0}^{m} (-1)^{k} \binom{m}{k} |||S^{k}u|||^{2} &= |||u|||^{2} + \sum_{k=1}^{m} (-1)^{k} \binom{m}{k} |||S^{k}u|||^{2} \\ &= \langle D_{-1}y, y \rangle + \sum_{k=1}^{m} (-1)^{k} \binom{m}{k} ||T^{k-1}y||^{2} \\ &= \sum_{k=0}^{m} (-1)^{k} \binom{m}{k} p_{y}(k-1) = 0, \end{split}$$

since  $p_y$  has degree less or equal to m - 1. Hence *S* is an *m*-isometry.

The following result gives necessary conditions of having an invertible *m*-isometrical extension.

**Proposition 3.2.** Let  $T \in L(H)$  be a strict *m*-isometry.

(1) If T is invertible, then  $p_x(n) = ||T^n x||^2 > 0$  for every  $x \in H \setminus \{0\}$  and  $n \in \mathbb{Z}$ .

- (2) If T has an invertible m-isometrical extension S, then  $p_x(-k) := ||S^{-k}x||^2 > 0$  for every  $x \in H \setminus \{0\}$  and  $k \in \mathbb{N}$ , where  $p_x(n) := ||T^nx||^2$  for  $n \in \mathbb{N}$ . In particular, the degree of  $p_x$  is even for every  $x \in H \setminus \{0\}$ .
- (3) If there exists an invertible m-isometrical extension of T, then  $D_n > 0$  and invertible operator for every  $n \in \mathbb{Z}$ .

*Proof.* (1) Part (3) of Proposition 2.1 yields that  $T^{*n}D_{-n}T^n = D_0 = I$  for  $n \in \mathbb{N}$ . So, for every  $x \in H \setminus \{0\}$  and  $n \in \mathbb{N}$ ,

$$p_x(-n) = \langle D_{-n}x, x \rangle = \langle T^{*-n}T^{-n}x, x \rangle = \|T^{-n}x\|^2 > 0$$
,

since  $T^{-1}$  is an *m*-isometry.

(2) Let  $x \in H$  and  $n \in \mathbb{N}$ . Denote by

$$p_x(n) := \langle D_n x, x \rangle := \sum_{k=0}^{m-1} b_k(n) \| T^k x \|^2$$
$$\widetilde{p}_x(n) := \langle \widetilde{D}_n x, x \rangle := \sum_{k=0}^{m-1} b_k(n) \| S^k x \|^2,$$

where *S* is an invertible *m*-isometrical extension of *T*. Clearly,  $p_x(n) = \tilde{p}_x(n)$  is a polynomial of degree less or equal to m - 1. Observe that  $p_x(-n) = \tilde{p}_x(-n) = ||S^{-n}x||^2$  for every  $n \in \mathbb{N}$ .

- **Remark 3.3.** (1) Observe that part (2) of the above Proposition implies that the degree of  $p_x$  is even if  $p_x(n) > 0$  for every  $n \in \mathbb{Z}$ . Indeed, this is a different way to prove that there are no invertible strict *m*-isometries for even *m*. See also [4, Proposition 1.23].
  - (2) The conditions  $D_n > 0$  and invertible operator for every  $n \in \mathbb{Z}$  are not sufficient to define an invertible *m*-isometrical extension of *T*. Indeed, invertibility of  $D_n$  would suffice to construct an unbounded *m*-isometrical extension of *T* with dense range.

Proposition 3.2 allow us to obtain that some *m*-isometries have not an invertible *m*-isometrical extension.

**Remark 3.4.** Let  $T \in L(H)$  be a strict *m*-isometry. Denote  $p_x(n) := ||T^n x||^2$ , for  $n \in \mathbb{N}$  and  $x \in H \setminus \{0\}$ . Then

- (1) If m = 1, then  $p_x(n) > 0$  for every  $x \in H \setminus \{0\}$  and  $n \in \mathbb{Z}$ .
- (2) If *m* is even, then there exist  $x_0 \in H$  and  $n_0 \in \mathbb{Z}$  with  $n_0 < 0$  such that  $p_{x_0}(n_0) \leq 0$ .
- (3) If *m* is odd, then it is possible that  $p_x(n) > 0$  for every  $x \in H \setminus \{0\}$  and  $n \in \mathbb{Z}$  or there exist  $x_0 \in H$  and  $n_0 \in \mathbb{Z}$  with  $n_0 < 0$  such that  $p_{x_0}(n_0) \leq 0$ .

In the following examples we present different behaviours of  $p_x(n)$  with negative integer *n* for unilateral weighted shift.

**Example 3.5.** Let  $p(n) = n^{m-1}$  with odd m. It is clear that  $p_{e_j}(n) := ||S_w^n e_j||^2 = \left(\frac{j+n}{j}\right)^{m-1}$  and  $p_{e_j}(-j) = 0$ . So,  $S_w$  can not have an invertible m-isometrical extension.

**Example 3.6.** Let  $p(n) := \prod_{i=1}^{m-1} (mn+i)$  with odd m. It is clear that

$$p_{e_j}(n) := \|S_w^n e_j\|^2 = \frac{\prod_{i=1}^{m-1} (m(j+n)+i)}{\prod_{i=1}^{m-1} (mj+i)}$$

If  $j \ge n$ , then  $p_{e_j}(-n) > 0$ . In other case,  $p_{e_j}(-n) > 0$  since m - 1 is even. As we will see later,  $S_w$  has an invertible *m*-isometrical extension by Theorem 5.1.

#### 4. CHARACTERIZATION OF HAVING AN INVERTIBLE *m*-isometrical extension

The main result of this paper is to obtain, for a fixed *m*-isometry, characterizations of having an invertible *m*-isometrical extension. In Proposition 3.2, we proved that a necessary condition is that the bilateral sequence of operators  $(D_n)_{n \in \mathbb{Z}}$  must be strictly positive and invertible.

Now, we are in position to prove the main result.

**Theorem 4.1.** Let  $T \in L(H)$  be an *m*-isometry and let  $(D_n)_{n \in \mathbb{Z}}$  be the bilateral sequence defined by (2.2). Denote  $p_x(n) := \langle D_n x, x \rangle$  for every  $x \in H \setminus \{0\}$  and  $n \in \mathbb{Z}$ . The following statements are equivalent:

(*i*) There exist a Hilbert space  $K \supset H$  and an invertible *m*-isometrical operator  $S \in L(K)$  such that  $S_{|H} = T$ .

(ii)  $p_x(j) > 0$  for every  $x \in H \setminus \{0\}$ , and  $j \in \mathbb{Z}$  and

$$\sup\left\{\frac{p_x(j+1)}{p_x(j)}: x \in H \setminus \{0\}, \ j \in \mathbb{Z}\right\} < \infty.$$
(4.7)

(iii)  $D_n > 0$  and invertible for every  $n \in \mathbb{Z}$ , and

$$\sup\left\{\frac{\langle D_{-n+1}x,x\rangle}{\langle D_{-n}x,x\rangle}:x\in H, \ \|x\|=1, \ n\in\mathbb{N}\right\}<\infty.$$
(4.8)

*Proof.* (*i*)  $\Rightarrow$  (*ii*): Let  $x \in H \setminus \{0\}$ . Then

$$||S^{j+1}x||^2 = ||T^{j+1}x||^2 = p_x(j+1) > 0$$

for  $j \in \mathbb{Z}$  and

$$\frac{p_x(j+1)}{p_x(j)} = \frac{\|S^{j+1}x\|^2}{\|S^jx\|^2} \le \|S\|^2 \ .$$

So, we get (4.7).

 $(ii) \Rightarrow (iii)$ : By parts (1) and (2) of Proposition 2.1 we have that  $D_n > 0$  and invertible for  $n \in \mathbb{N}$ . By hypothesis,  $D_j > 0$  for  $j \in \mathbb{Z}$  since  $p_x(j) = \langle D_j x, x \rangle$ . Let us prove that  $D_{-n}$  are bounded below for every  $n \in \mathbb{N}$ . The condition (4.7) yields that there exists M > 0 such that

$$p_x(-n) \ge \frac{p_x(-n+1)}{M} \ge \frac{p_x(0)}{M^n} = \frac{\|x\|^2}{M^n}$$

hence

$$\|D_{-n}^{1/2}x\|^2 \geq rac{\|x\|^2}{M^n}$$
 ,

for every  $x \in H \setminus \{0\}$  and  $n \in \mathbb{N}$ . Therefore  $D_{-n}$  is bounded below for  $n \in \mathbb{N}$  and hence invertible.

It is remained to prove (4.8). Indeed, (4.8) is an immediate consequence of (4.7) using the identification  $p_x(j) = \langle D_j x, x \rangle$  for every  $x \in H \setminus \{0\}$  and  $j \in \mathbb{Z}$ .

 $(iii) \Rightarrow (i)$ : Let *V* be the vector space of all sequences  $(h_1, h_2, ...)$  of elements of *H* with finite support, that is, there exists  $n \in \mathbb{N}$  such that  $h_j = 0$  for j > n. Define a new seminorm on *V* by

$$|||(h_1,h_2,\ldots)|||^2 := \langle D_{-n}y,y\rangle,$$

where  $n \in \mathbb{N}$  is any integer satisfying  $h_j = 0$  for j > n and  $y := \sum_{j=1}^n T^{n-j}h_j$ .

The seminorm  $||| \cdot |||$  does not depend on the choice of n. Indeed, if  $h_j = 0$  for j > n,  $r = n + n_0$  with  $n_0 \in \mathbb{N}$ , and  $y = \sum_{j=0}^n T^{n-j}h_j$ , then

$$\left\langle D_{-r} \sum_{j=1}^{r} T^{r-j} h_{j}, \sum_{i=1}^{r} T^{r-i} h_{i} \right\rangle = \left\langle D_{-(n+n_{0})} T^{n_{0}} \left( \sum_{j=1}^{n+n_{0}} T^{n-j} h_{j} \right), T^{n_{0}} \left( \sum_{i=1}^{n+n_{0}} T^{n-i} h_{i} \right) \right\rangle$$
$$= \left\langle T^{*n_{0}} D_{-(n+n_{0})} T^{n_{0}} \left( \sum_{j=1}^{n} T^{n-j} h_{j} \right), \sum_{i=1}^{n} T^{n-i} h_{i} \right\rangle = \left\langle D_{-n} y, y \right\rangle$$

where the last equality is by part (3) of Proposition 2.1.

Let  $N := \{(h_1, h_2, ...) \in V : |||(h_1, h_2, ...)||| = 0\}$  and let *K* be the completion of *V*/*N*.

Let us prove that *K* is a pre-Hilbert space. For that, it is enough to prove that  $||| \cdot |||$  satisfies the parallelogram law. Let  $u := (h_1, h_2, \dots) + N$ ,  $v := (g_1, g_2, \dots) + N \in V/N$ ,  $n \in \mathbb{N}$  such that  $h_j = 0 = g_j$  for j > n and  $x := \sum_{j=1}^n T^{n-j}h_j$ ,  $y := \sum_{j=1}^n T^{n-j}g_j$ . Then

$$|||u + v|||^{2} + |||u - v|||^{2} = \langle D_{-n}(x + y), x + y \rangle + \langle D_{-n}(x - y), x - y \rangle$$
$$= 2(|||u|||^{2} + |||v|||^{2}).$$

For each  $h \in H$  we have  $|||(h, 0, 0, ...) + N|||^2 = \langle D_{-1}Th, Th \rangle = \langle D_0h, h \rangle = ||h||^2$ .

Let *L* be the closed subspace generated by  $(h, 0, \dots) + N$  with  $h \in H$  and define  $\phi$  on *H* taking values on *L* by  $\phi(h) := (h, 0, \dots) + N$ . Then  $||h||^2 = |||\phi(h)|||^2$  and  $R(\phi) = L$ . For each  $h \in H$  we can identify *h* with  $(h, 0, \dots) + N \in K$ . So, *K* contains *H* as a subspace.

Define *S* on *V*/*N* by  $S((h_1, h_2, \dots) + N) := (Th_1 + h_2, h_3, \dots) + N \in V/N$ . Then the definition of *S* is correct and *S* is bounded. Indeed, let  $u := (h_1, h_2, \dots) + N \in$  $V/N, n \in \mathbb{N}$  such that  $h_j = 0$  for j > n and  $y := \sum_{j=1}^n T^{n-j}h_j$ . Denote  $(\tilde{h}_1, \tilde{h}_2, \dots) :=$  $(Th_1 + h_2, h_3, \dots)$ . Then

$$|||Su|||^{2} = |||(Th_{1} + h_{2}, h_{3}, \cdots) + N|||^{2} = \langle D_{-(n-1)}\widetilde{y}, \widetilde{y} \rangle$$

where

$$\widetilde{y} := \sum_{j=1}^{n-1} T^{n-1-j} \widetilde{h}_j = T^{n-1} (Th_1 + h_2) + \sum_{j=2}^{n-1} T^{n-1-j} \widetilde{h}_j = y.$$

Then  $|||Su|||^2 = \langle D_{-(n-1)}y, y \rangle = p_y(-n+1)$ . Repeating the process we have that

$$|||S^{k}u|||^{2} = p_{y}(-n+k)$$
,

for  $k = 0, \dots m$ . Therefore

$$\sum_{k=0}^{m} (-1)^k \binom{m}{k} |||S^k u|||^2 = \sum_{k=0}^{m} (-1)^k \binom{m}{k} p_y(-n+k) = 0 ,$$

since  $p_y$  has degree less or equal to m - 1. By continuity, S is an *m*-isometry.

It is easy to see that  $R(S) \supset V + N$ . So the range of *S* is dense, and consequently *S* is an invertible *m*-isometry.

Moreover, the invertible extension  $S \in L(K)$  is defined uniquely (up to the unitary equivalence) if we assume that *S* is minimal, i.e.,  $K = \bigvee_{k \ge 0} S^{-k} H$ .

We will prove that the converse of part (3) of Proposition 3.2 is not true in general, that is, if  $D_n > 0$  and invertible for  $n \in \mathbb{Z}$  are not sufficient to have an invertible *m*-isometrical extension of an *m*-isometry. Firstly, we need a previous result on *m*-isometries.

**Proposition 4.2.** Let  $(T_n)_{n \in \mathbb{N}} \subset L(H)$  be a uniformly bounded sequence of *m*-isometries. Then  $T = T_1 \oplus T_2 \oplus \cdots$  is an *m*-isometry on  $\ell^2(H)$ .

*Proof.* Since  $(T_n)_{n \in \mathbb{N}}$  is a uniformly bounded, then  $T = T_1 \oplus T_2 \oplus \cdots$  is well-defined on  $\ell^2(H)$ .

Let  $x = (x_1, x_2, \dots) \in \ell^2(H)$ . Denote  $p_{x_n}(k) := ||T_n^k x_n||^2$ . Since  $(T_n)_{n \in \mathbb{N}}$  is a sequence of *m*-isometries, then  $(p_{x_n}(k))_{n \in \mathbb{N}}$  is a sequence of polynomials of degree less or equal to m - 1. Fixed  $k \in \mathbb{N}$ ,

$$p_x(k) := ||T^k x||^2 = \sum_{n=1}^{\infty} ||T_n^k x_n||^2 = \sum_{n=1}^{\infty} p_{x_n}(k)$$

is a polynomial of degree less or equal to m - 1. Hence T is an *m*-isometry.

It is possible to exhibit an example of *m*-isometry with odd *m* such that  $D_n > 0$  and invertible for every  $n \in \mathbb{Z}$  but not fulfilling the hypothesis of Theorem 4.1. In order to simplify the presentation we include an example with a 3-isometry.

**Example 4.3.** Let  $q_n(j) := j^2 + j(2 - \frac{1}{n}) + 1$ . Let *H* be a Hilbert space with an orthonormal basis  $(e_{n,j})_{n,j \in \mathbb{N}}$  and  $K := \ell^2(H)$ . Define  $T \in L(K)$  by

$$Te_{n,j} := \sqrt{\frac{q_n(j+1)}{q_n(j)}}e_{n,j+1}$$

for any  $n, j \in \mathbb{N}$ . Then

- (1) T is a 3-isometry on K.
- (2)  $p_x(k) > 0$  for every  $x \in K \setminus \{0\}$  and  $k \in \mathbb{Z}$ , where  $p_x(n) := ||T^n x||^2$  for  $n \in \mathbb{N}$ .
- (3)  $D_n > 0$  and invertible for  $n \in \mathbb{Z}$ .
- (4) There is no invertible 3-isometrical extension of *T*.

**Proof:** It is clear that  $q_n(j) > 0$  for  $n \in \mathbb{N}$  and  $j \in \mathbb{Z}$ . Let  $x = (x_1, x_2, \cdots) = (\sum_{n=1}^{\infty} \alpha_{n,1} e_{n,1}, \sum_{n=1}^{\infty} \alpha_{n,2} e_{n,2}, \cdots) \in K$ . Then

$$T(x_1, x_2, \cdots) := (0, T_1 x_1, T_2, x_2, \cdots)$$
,

where

$$T_i x_i := T_i \left( \sum_{n=1}^{\infty} \alpha_{n,i} e_{n,i} \right) = \sum_{n=1}^{\infty} \alpha_{n,i} w_{n,i} e_{n,i+1}$$

and

$$w_{n,i}:=\sqrt{\frac{q_n(i+1)}{q_n(i)}}\,.$$

By Proposition 4.2, the operator *T* is a 3-isometry, since  $T_n$  is a 3-isometry for every  $n \in \mathbb{N}$  and also  $(T_n)_{n \in \mathbb{N}}$  is uniformly bounded, that is

$$\sup_{n \in \mathbb{N}} \|T_n\| \le \sup_{n, i \in \mathbb{N}} \sqrt{\frac{q_n(i+1)}{q_n(i)}} < M$$

for some positive constant *M*.

Let us prove that  $p_x(k) > 0$  for every  $x \in K \setminus \{0\}$  and  $k \in \mathbb{Z}$ . Let  $x = (x_1, x_2, \cdots) = (\sum_{n=1}^{\infty} \alpha_{n,1} e_{n,1}, \sum_{n=1}^{\infty} \alpha_{n,2} e_{n,2}, \cdots) \in K \setminus \{0\}$  and  $k \in \mathbb{N}$ . Then

$$p_{x}(k) := \|T^{k}x\|^{2} = \|(0, \dots, 0, T_{k}T_{k-1} \dots T_{1}x_{1}, T_{k+1}T_{k} \dots T_{2}x_{2}, \dots\|^{2}$$
$$= \left\|(0, \dots, 0, \sum_{n=1}^{\infty} \alpha_{n,1}\sqrt{\frac{q_{n}(k+1)}{q_{n}(1)}}e_{n,k+1}, \dots)\right\|^{2}$$
$$= \sum_{j=1}^{\infty} \left\|\sum_{n=1}^{\infty} \alpha_{n,j}\sqrt{\frac{q_{n}(k+j)}{q_{n}(j)}}e_{n,k+j}\right\|^{2} = \sum_{n,j=1}^{\infty} |\alpha_{n,j}|^{2} \frac{q_{n}(k+j)}{q_{n}(j)} > 0$$

for  $k \in \mathbb{N}$ . Notice that

$$D_{-n} := \frac{(n+1)(n+2)}{2}I - n(n+2)T^*T + \frac{n(n+1)}{2}T^{*2}T^2,$$

is a diagonal operator given by  $D_{-n}e_{m,j} = \lambda_{-n}(k, j)e_{k,j}$  where

$$\begin{split} \lambda_{-n}(k,j) &:= \frac{1}{2q_k(j)} \left( (n+1)(n+2)q_k(j) - n(n+2)q_k(j+1) + n(n+1)q_k(j+2) \right) \\ &= \frac{1}{2q_k(j)} \left( j^2(n^2+2n+2) + j \left( -\frac{n^2}{k} + 4n^2 - 2\frac{n}{k} + 4n - \frac{2}{k} + 4 \right) \right) \\ &- \frac{n^2}{k} + 6n^2 + 4n + 2 \right) > 0 , \end{split}$$

for  $n, k, j \in \mathbb{N}$ . So, it is immediate that  $D_{-n}$  is invertible for  $n \in \mathbb{N}$ .

In order to finish the proof, let us prove that there is no invertible 3-isometrical extension of *T*. Taking into account that

$$\frac{p_{e_{n,1}}(-1)}{p_{e_{n,1}}(-2)} = \frac{q_n(0)}{q_n(-1)} = n$$
 ,

we have that

$$\sup\left\{\frac{p_x(j+1)}{p_x(j)} : x \in K \setminus \{0\}, j \in \mathbb{Z}\right\} = \infty.$$

## 5. Some particular cases

In this section, the goal is to study two different examples of *m*-isometries, the  $\ell$ -Jordan isometry and unilateral weighted shift that are *m*-isometries for some *m*.

In the case of unilateral weighted shift we can obtain a nice characterization of invertible *m*-isometrical extensions of an *m*-isometry, as a consequence of Theorem 4.1.

**Theorem 5.1.** Let H be a Hilbert space with orthonormal basis  $(e_n)_{n \in \mathbb{N}}$  and let  $S_w \in L(H)$ be an m-isometrical unilateral weighted shift associated to the weight  $w := (w_n)_{n \in \mathbb{N}}$ . Then  $S_w$  has an invertible m-isometrical extension if and only if  $p_{e_1}(n) > 0$  for every  $n \in \mathbb{Z}$ , where  $p_{e_1}(n) := \|S_w^n e_1\|^2$  for  $n \in \mathbb{N}$ .

*Proof.* If  $S_w$  has an invertible *m*-isometrical extension *S*, then  $p_x(n) := ||S^n x||^2 > 0$  for every  $x \in H \setminus \{0\}$  and  $n \in \mathbb{Z}$ , by Proposition 3.2. Hence  $p_{e_1}(n) > 0$  for  $n \in \mathbb{Z}$ .

Let us prove the sufficient condition. Suppose that  $p_{e_1}(n) > 0$  for  $n \in \mathbb{Z}$ . A first consequence is that *m* is odd. By equality (2.4),  $p_{e_1}(n)$  is a polynomial of degree m - 1. Hence

$$\lim_{n o \infty} rac{p_{e_1}(-n+1)}{p_{e_1}(-n)} = 1$$
 ,

and

$$\inf \left\{ \frac{p_{e_1}(-n+1)}{p_{e_1}(-n)} : n \in \mathbb{N} \right\} > 0.$$

Let *K* be a Hilbert space with  $(e_n)_{n \in \mathbb{Z}}$  an orthonormal basis. Define  $T_{\beta} \in L(K)$  by  $T_{\beta}e_n = \beta_n e_{n+1}$  where  $\beta_n = \sqrt{\frac{p_{e_1}(n)}{p_{e_1}(n-1)}}$  for  $n \in \mathbb{Z}$ . By [1, Theorem 19] we have that  $T_{\beta}$  is an *m*-isometry, since  $p_{e_1}(n)$  is a polynomial of degree m-1 by (2.4). Moreover,  $T_{\beta}$  is an invertible extension of  $S_w$  and the desired result is proved.

**Remark 5.2.** In the above theorem, it is possible to obtain the same information with different elements of the orthogonal basis, as a consequence of equality (2.4). Indeed, in the conditions of Theorem 5.1 the following statements are equivalent:

- (1)  $S_w$  has an invertible *m*-isometrical extension.
- (2)  $p_{e_1}(n) > 0$  for  $n \in \mathbb{Z}$ .

- (3)  $p_{e_i}(n) > 0$  for  $n \in \mathbb{Z}$  and some  $j \in \mathbb{N}$ .
- (4)  $p_{e_i}(n) > 0$  for  $n \in \mathbb{Z}$  and  $j \in \mathbb{N}$ .

Let us obtain a first approach to  $\ell$ -Jordan isommetries. In the next result we obtain that any 2-Jordan isometry operator admits an invertible 3-isometric extension, as a particular case of Theorem 4.1.

**Corollary 5.3.** Let  $T \in L(H)$  be a 2-Jordan isometry operator. Then T has an invertible 2-Jordan isometry extension.

*Proof.* Let *T* be a 2-Jordan isometry operator, that is T = A + Q, where *A* is an isometry and *Q* is a 2-nilpotent operator such that AQ = QA. By (2.2) we obtain that

$$D_{-n} = \frac{(n+1)(n+2)}{2}I - n(n+2)T^*T + \frac{n(n+1)}{2}T^{*2}T^2$$
  
=  $I - n(A^*Q + Q^*A) + n^2Q^*Q$ .

Then

$$\langle D_{-n}x,x\rangle = \|x\|^2 - n(\langle Qx,Ax\rangle + \langle Ax,Qx\rangle) + n^2 \|Qx\|^2$$

Let us prove that  $\langle D_{-n}x, x \rangle > 0$  for every  $x \in H$  such that ||x|| = 1 and  $n \in \mathbb{N}$ . It is enough to prove that

$$n^{2} \|Qx\|^{2} + 1 > 2nRe(\langle Ax, Qx \rangle), \qquad (5.9)$$

where Re(z) denotes the real part of *z*. If  $Re(\langle Ax, Qx \rangle) \leq 0$ , then (5.9) is clear. Assume that  $Re(\langle Ax, Qx \rangle) > 0$ . Then

$$Re(\langle Ax, Qx \rangle) = |Re(\langle Ax, Qx \rangle)| \le |\langle Ax, Qx \rangle| \le ||Ax|| ||Qx|| \le ||Q||.$$

If  $|\langle Ax, Qx \rangle| = ||Ax|| ||Qx||$ , then the vectors Ax and Qx are linearly dependent, so there exists  $\lambda$  such that  $Qx = \lambda Ax$ . Then  $\lambda = 0$ , since  $0 = ||Q^2x|| = |\lambda|^2 ||A^2x|| = |\lambda|^2$  and therefore ||Qx|| = 0, which is an absurd with  $Re(\langle Ax, Qx \rangle > 0)$ . If  $|\langle Ax, Qx \rangle| < ||Ax|| ||Qx||$ , then

$$2nRe(\langle Ax, Qx \rangle) < 2n \|Qx\| \le n^2 \|Qx\|^2 + 1.$$

So,  $\langle D_{-n}x, x \rangle > 0$  for every  $x \in H$  such that ||x|| = 1 and all  $n \in \mathbb{N}$ .

In order to get the result, it is enough to prove that (4.8) is bounded. Let  $x \in H$  such that ||x|| = 1 and  $n \in \mathbb{N}$ . Then

$$\begin{split} \frac{\langle D_{-n+1}x, x \rangle}{\langle D_{-n}x, x \rangle} &= 1 + \frac{2Re(\langle Ax, Qx \rangle) + (-2n+1) \|Qx\|^2}{1 - 2nRe(\langle Ax, Qx \rangle) + n^2 \|Qx\|^2} \\ &\leq 1 + \left| \frac{2Re(\langle Ax, Qx \rangle) + (-2n+1) \|Qx\|^2}{1 - 2nRe(\langle Ax, Qx \rangle) + n^2 \|Qx\|^2} \right| \\ &\leq 1 + \frac{2\|Q\| + (2n-1) \|Q\|^2}{1 - 2n\|Q\| - n^2 \|Q\|^2} \end{split}$$

converges to zero as n tends to infinity. Hence

$$\sup\left\{\frac{\langle D_{-n+1}x,x\rangle}{\langle D_{-n}x,x\rangle} : x \in H, \|x\| = 1, n \in \mathbb{N}\right\} < \infty.$$

**Corollary 5.4.** Let  $T, C \in L(H)$  such that TC = CT.

- (1) If T is an isometry, then  $\widetilde{T} := \begin{pmatrix} T & C \\ 0 & T \end{pmatrix}$  has an invertible 3-isometric extension on  $K \supset H \oplus H$ .
- (2) If  $\lambda T$  is an isometry for some  $\lambda \in \mathbb{C}$ , then  $\lambda \widetilde{T} = \lambda \begin{pmatrix} T & C \\ 0 & T \end{pmatrix}$  has an invertible 3-isometric extension on  $K \supset H \oplus H$ .

*Proof.* (1) It is clear that  $\tilde{T} = \begin{pmatrix} T & 0 \\ 0 & T \end{pmatrix} + \begin{pmatrix} 0 & C \\ 0 & 0 \end{pmatrix}$  is a 2-Jordan isometry operator. Therefore the result is consequence of Corollary 5.3.

Applying (1) to the operator  $\lambda T$  we obtain (2).

A similar result of part (1) of Corollary 5.4 was obtained in [8, Corollary 4.4]. That is, if  $T \in L(H)$  is a contraction and  $C \in L(H)$  such that TC = CT, then  $\tilde{T}$  has a 3-isometric lifting on  $K \supset H \oplus H$ .

In the next theorem we can improve Corollary 5.3. Indeed, we prove that every  $\ell$ -Jordan isometry has an invertible  $\ell$ -Jordan isometry extension. The first part of our proof is based in the construction by Douglas [13], as it is presented by Laursen and Neumann in the monograph [15, Proposition 1.6,6].

**Theorem 5.5.** Let  $T \in L(H)$  be an  $\ell$ -Jordan isometry. Then there exist a Hilbert space K and  $S \in L(K)$ , such that H is isometrically embedded in K and S is an invertible  $\ell$ -Jordan isometry extension of T.

*Proof.* As *T* is an  $\ell$ -Jordan isometry, there are an isometry  $A \in L(H)$  and an  $\ell$ -nilpotent operator  $Q \in L(H)$  such that AQ = QA and T = A + Q.

Let  $K_0$  be the linear space of all the sequences  $u = (u_n)_{n \in \mathbb{N}}$  in H such that there is  $m \in \mathbb{N}$  satisfying  $u_{m+k} = A^k u_m$ , for  $k \in \mathbb{N}$ . Define, for  $u, v \in K_0$ ,

$$\langle u,v
angle_0:=\lim_{n o\infty}\langle u_n,v_n
angle$$
 ,

being  $\langle \cdot, \cdot \rangle$  the inner product on H. Note that there exists  $m \in \mathbb{N}$  such that  $\langle u_m, v_m \rangle = \langle A^k u_m, A^k v_m \rangle = \langle u_{m+k}, v_{m+k} \rangle$ , so the sequence  $(\langle u_n, v_n \rangle)_{n \in \mathbb{N}}$  is eventually constant, that is, there exists  $k_0 \in \mathbb{N}$  such that  $\langle u_n, v_n \rangle$  is constant for  $n > k_0$ . It is routine to verify what  $\langle \cdot, \cdot \rangle_0$  is a semi-inner product on  $K_0$ . Therefore  $K_0$  is a semi pre-Hilbert space. Moreover,

$$\|u\|_0^2 := \langle u, u \rangle_0 = \lim_{n \to \infty} \langle u_n, u_n \rangle = \lim_{n \to \infty} \|u_n\|^2$$

defines a seminorm  $\|\cdot\|_0$  on  $K_0$ .

Let  $M := \{u \in K_0 : \langle u, u \rangle_0 = ||u||_0^2 = 0\}$ . Then *M* is a closed subspace of  $K_0$  and we consider the quotient space  $K_0/M$ . In this space are defined, for  $u, v \in K_0$ ,

 $\langle u+M, v+M \rangle := \langle u, v \rangle_0$  and  $||u+M||^2 := \langle u+M, u+M \rangle = \langle u, u \rangle_0 = ||u||_0^2$ ,

and we obtain that  $K_0/M$  is a pre-Hilbert space.

Denote by *K* the Hilbert space what it is the completion of  $K_0/M$ . The operator  $J \in L(H, K)$ , defined by  $Jx := (A^n x)_{n \in \mathbb{N}} + M$  for  $x \in H$ , satisfies that

$$||Jx|| = ||(A^n x)_{n \in \mathbb{N}} + M|| = ||(A^n x)_{n \in \mathbb{N}}||_0 = \lim_{n \to \infty} ||A^n x|| = ||Ax|| = ||x||,$$

hence *J* is an isometry. So *K* contains an isometric copy of *H*. It is clear that J(H) is a closed subspace of *K*.

In order to define  $B \in L(K)$ , we define an isometry on  $K_0/M$  by

$$B((u_n)_{n\in\mathbb{N}}+M):=(Au_n)_{n\in\mathbb{N}}+M$$
,

for every  $(u_n)_{n \in \mathbb{N}} + M \in K_0/M$ . Note that *B* is a linear isometry whose range contains  $K_0/M$ ; in fact, given  $(v_n)_{n \in \mathbb{N}} + M = (v_1, ..., v_m, Av_m, A^2v_m, ...) + M$ , we have that

$$B((\underbrace{0,...,0}_{m}, v_{m}, Av_{m}, A^{2}v_{m}, ...) + M) = (\underbrace{0,...,0}_{m}, Av_{m}, A^{2}v_{m}, A^{3}v_{m}, ...) + M$$
$$= (v_{1}, \cdots, v_{m}, Av_{m}, A^{2}v_{m}, \cdots) + M.$$

As  $K_0/M$  is dense in K, we have that B can be extended to an invertible isometry defined on K. Moreover, B can be considered as an extension of A since, for  $x \in H$ ,

$$BJx = B((A^n x)_{n \in \mathbb{N}} + M) = (A^{n+1}x)_{n \in \mathbb{N}} + M = JAx$$
.

That is, BJ = JA.

Define  $P \in L(K)$  in the following way

$$P((u_n)_{n\in\mathbb{N}}+M)=(Qu_n)_{n\in\mathbb{N}}+M$$
,

for every  $(u_n)_{n \in \mathbb{N}} + M \in K_0/M$ . It is clear that *P* is an  $\ell$ -nilpotent. Let us prove that *B* and *P* commute. Taking into account that AQ = QA, we have that

$$BP((u_n)_{n\in\mathbb{N}}+M) = B((Qu_n)_{n\in\mathbb{N}}+M) = (AQu_n)_{n\in\mathbb{N}}+M$$
$$= (QAu_n)_{n\in\mathbb{N}}+M = P((Au_n)_{n\in\mathbb{N}}+M) = PB((u_n)_{n\in\mathbb{N}}+M) .$$

for every  $(u_n)_{n \in \mathbb{N}} + M \in K_0/M$ . Therefore,  $S := B + P \in L(K)$  is an  $\ell$ -Jordan isometry that extends *T*. Moreover, *S* is an invertible since  $\sigma(S) = \sigma(B)$  and *B* is an invertible isometry. So the proof is finished.

An operator  $T \in L(H)$  is a *doubly*  $\ell$ -*Jordan isometry* if T = A + Q is an  $\ell$ -Jordan isometry operator such that the  $\ell$ -nilpotent  $Q \in L(H)$  which commutes with A also commutes with  $A^*$ . For all scalar  $\lambda$  with  $|\lambda| = 1$  and an  $\ell$ -nilpotent operator Q, we have that  $\lambda I + Q$  is a doubly  $\ell$ -Jordan isometry.

**Corollary 5.6.** Let  $T \in L(H)$  be a doubly  $\ell$ -Jordan isometry. Then there exist a Hilbert space K, such that H is isometrically embedded in K and an invertible doubly  $\ell$ -Jordan isometry extension  $S \in L(K)$  of T.

Remark 5.7. We use the notation of the proof of Theorem 5.5.

(1) It is easy to prove that the orthogonal subspace of J(H),  $J(H)^{\perp}$  is the closure of the subspace of all classes

$$(u_n)_{n \in \mathbb{N}} + M = (u_1, ..., u_m, Au_m, A^2u_m, ...) + M \in K_0 / M$$

such that  $u_m \in R(A^m)^{\perp}$ .

(2) The decomposition  $K = J(H) \oplus J(H)^{\perp}$  gives rise to the representation of *B* as a operator matrix:

$$B = \left(\begin{array}{cc} B_1 & B_2 \\ 0 & B_3 \end{array}\right) \tag{5.10}$$

being  $B_1 \in L(J(H))$ ,  $B_2 \in L(J(H)^{\perp}, J(H))$  and  $B_3 \in L(J(H)^{\perp})$ . Notice that J(H) is a closed invariant subspace of B.

(3) The operator *P* is defined by the following operator matrix, associated to the decomposition  $K = J(H) \oplus J(H)^{\perp}$ ,

$$P = \left(\begin{array}{cc} P_1 & P_2 \\ 0 & P_3 \end{array}\right) \tag{5.11}$$

being  $P_1 \in L(J(H))$ ,  $P_2 \in L(J(H)^{\perp}, J(H))$  and  $P_3 \in L(J(H)^{\perp})$ . Notice that J(H) is a closed invariant subspace of P.

(4) If *T* is a doubly  $\ell$ -Jordan isometry, then  $P_2 = 0$  in (5.11). For this purpose only it is necessary to prove that if  $(u_n)_{n \in \mathbb{N}} + M \in J(H)^{\perp}$ , then  $P((u_n)_{n \in \mathbb{N}} + M) \in J(H)^{\perp}$ , and that  $BP^* = P^*B$ . In fact, given  $u = (u_1, ..., u_m, Au_m, A^2u_m, ...)$  such that  $u_m \in R(A^m)^{\perp}$ , we have that  $Qu_m \in R(A^m)^{\perp}$  since, for all  $x \in H$ ,

$$\langle Qu_m, A^m x \rangle = \langle u_m, Q^* A^m x \rangle = \langle u_m, A^m Q^* x \rangle = 0$$
,

because  $Q^*A = AQ^*$ . Therefore  $P((u_n)_{n \in \mathbb{N}} + M) = (Qu_1, ..., Qu_m, AQu_m, A^2Qu_m, ...) + M \in J(H)^{\perp}$ . Hence  $P(J(H)^{\perp}) \subset J(H)^{\perp}$ .

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#### Declarations

The authors declare that there is no conflict of interest and the manuscript has no associated data.

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